VICTOR GONZALEZ REG. NO. 19769-050 USP LEE U.S. PENITENTIARY P.O. BOX 305 JONESVILLE, VA 24263

July 13 ,2021

Mr. William T. Walsh
Clerk of Court
U.S. District Court
District of New Jersey
4th and Cooper Streets, Room 1050
Camden, NJ 08101

RECEIVED

JUL 16 2021

RE: Gonzalez v. United States
Crim No. 1:96-cr-00114-RBK-1

AT 8:30.\_\_\_\_M .WILLIAM T. WALSH CLERK

Dear Mr. Walsh:

Enclosed please find and accept for filing Movant's Motion for Compassionate Release pursuant to 18 U.S.C. § 3582(c)(1)(A) and First Step Act of 2018. Please submit this document to the Court.

Thank you for your assistance in this matter.

Sincerely,

VICTOR GONZALEZ Appearing Pro se

Encl. as noted

## UNITED STATES DISTRICT COURT DISTRICT OF NEW JERSEY

UNITED STATES OF AMERICA,

Respondent,

v.

Crim No. 1:96-cr-00114-RBK-1

VICTOR GONZALEZ,

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Defendant.

JUL 1 6 2021

MOTION FOR COMPASSIONATE RELEASE PURSUANT TO 18 U.S.C. § 3582(C)(1)(A) AND FIRST STEP ACT OF 2018

COMES Movant, VICTOR GONZALEZ ("Gonzalez"), appearing *pro se*, and in support of this motion would show as follows:

### I. JURISDICTION

The district court's jurisdiction to correct or modify a defendant's sentence is limited to those specific circumstances enumerated by Congress in 18 U.S.C. § 3582. The scope of a proceeding under 18 U.S.C. § 3582(c)(2) in cases like this one is extremely limited. *Dillon v. United States*, 130 S.Ct. 2683, 2687(2010). It is black-letter law that a federal court generally "may not modify a term of imprisonment once it has been imposed." *Id.* However, Congress has allowed an exception to that rule "in the case of a defendant who has been sentenced to a term of imprisonment based on a sentencing range that has subsequently been lowered by

the Sentencing Commission." 18 U.S.C. § 3582(c)(2); see also, *Freeman v. United States*, 131 S.Ct. 2685 (2011) (reciting standard for sentence modifications). Such defendants are entitled to move for retroactive modification of their sentences. *Dillon*, 130 S.Ct. at 2690–91.

#### II. STATEMENT OF THE CASE

## A. Procedural Background

In 1996, Victor Gonzalez was convicted of conspiracy to engage in a pattern of racketeering activity in violation of 18 U.S.C. § 1962(d), engaging in a pattern of racketeering activity in violation of 18 U.S.C. § 1962(c), and related offenses. See Doc. 164. Predicate acts for the racketeering offenses included conspiracy to commit murder and murder.

On March 18, 1997, Gonzalez was sentenced to three concurrent terms of life in prison. See Doc. 321. The Court of Appeals for the Third Circuit affirmed the conviction and sentence on March 13, 1998. See *United States v. Gonzalez*, No. 97-5166 (3<sup>rd</sup> Cir. 1998).

<sup>&</sup>quot;Doc." refers to the Docket Report in the United States District Court for the District of New Jersey, Camden Division in Criminal No. 1:96-cr-00114-RBK-1, which is immediately followed by the Docket Entry Number. "PSR" refers to the Presentence Report in this case, which is immediately followed by the paragraph ("¶") number.

On January 26, 2007, Gonzalez filed a motion for reduction in sentence pursuant to 18 U.S.C. § 3582(c)(2), contending that his base offense level should have been 19 instead of 43. See Doc. 545. He based his argument on Amendment 591 to the Guidelines, which went into effect on November 1, 2000, contending that it prohibited the application of U.S.S.G. § 2A1.1, the first degree murder guideline, to him. *Id.* In an order entered on March 28, 2007, the District Court denied the motion, concluding that Amendment 591 does not lower Gonzalez's sentencing range. See Doc. 547. Gonzalez appealed. After he filed his informal brief, the government moved to summarily affirm the order of the District Court. The Third Circuit reviewed both Gonzalez's informal brief and his response in opposition to the government's motion in deciding this appeal. Subsequently, the Court of Appeals affirmed the Order denying the motion on October 15, 2007. See Doc. 554.

#### III. DISCUSSION

As a preliminary matter, Gonzalez respectfully requests that this Court be mindful that *pro se* complaints are to be held "to less stringent standards than formal pleadings drafted by lawyers," and should therefore be liberally construed. See *Higgs* v. Attorney Gen. of The United States, 655 F.3d 333 (3rd Cir. 2011); Estelle v. Gamble, 429 U.S. 97 (1976)(same); and Haines v. Kerner, 404 U.S. 519 (1972)(same).

## A. Federal Courts Have the Jurisdiction and Power to Reduce An Existing Sentence

This Court has the power to adjust Gonzalez's sentence. District courts no longer need a motion from the Bureau of Prisons to resentence a federal prisoner under the compassionate release provisions of 18 U.S.C. §3582(c)(1)(A)(i). A district court may now resentence if the inmate files a motion after exhausting administrative remedies. The reasons that can justify resentencing are not limited to medical, age, or family circumstances. A district court may resentence if the inmate demonstrates extraordinary and compelling reasons for a sentence reduction. Such reasons are present in this case.

### 1. <u>Historical Framework</u>

Congress first enacted the compassionate release provisions in 18 U.S.C. §3582 as part of the Comprehensive Crime Control Act of 1984. That legislation provided that a district court could modify a final term of imprisonment when extraordinary and compelling reasons warrant such a reduction. 18 U.S.C. §3582(c)(1)(A)(i). In 1984, this provision was conditioned on the Bureau of Prisons (BOP) filing a motion in the sentencing court. Absent a motion by the BOP, a sentencing court had no jurisdiction to modify an inmate's sentence. Congress did not define what constitutes an "extraordinary and compelling reason," but the legislative history recognized that the statute was intended, in part, to abolish and replace federal parole. Rather than

have the parole board review for rehabilitation only, Congress authorized review for changed circumstances:

The Committee believes that there may be unusual cases in which an eventual reduction in the length of a term of imprisonment is justified by changed circumstances. These would include cases of severe illness, cases in which other extraordinary and compelling circumstances justify a reduction of an unusually long sentence, and some cases in which the sentencing guidelines for the offense of which the defender was convicted have been later amended to provide a shorter term on imprisonment. S. Rep. No. 98-225 at 55-56 (1983).

18 U.S.C. §3582 acts as a "safety valve" for the "modification of sentences" that would previously have been addressed through the former parole system. *Id.* at 121. The provision was intended "to assure the availability of specific review and reduction of a term of imprisonment for "extraordinary and compelling reasons" and [would allow courts] to respond to changes in the guidelines." *Id.* Thus, sentencing courts have the power to modify sentences for extraordinary and compelling reasons.

## 2. Section 3582(c)(1)(A) is Not Limited To Medical, Elderly or Childcare Circumstances

Congress initially delegated the responsibility for determining what constitutes "extraordinary and compelling reasons" to the United States Sentencing Commission.

28 U.S.C. § 994(t) ("The Commission...shall describe what should be considered "extraordinary and compelling reasons" for sentence reduction, including the criteria to be applied and a list of specific examples." Congress provided one limitation to

that authority: "[r]ehabilitation of the defendant alone shall not be considered an extraordinary and compelling reason." 28 U.S.C. § 994(t). Rehabilitation could, however, be considered with other reasons to justify a reduction.

In 2007, the Sentencing Commission defined "extraordinary and compelling reasons" as follows:

- (A) Extraordinary and Compelling Reasons Provided the defendant meets the requirements of subdivision (2), extraordinary and compelling reasons exist under any of the following circumstances:
  - (i) The defendant is suffering from a terminal illness.
  - (ii) The defendant is suffering from a permanent physical or medical condition, or is experiencing deteriorating physical or mental health because of the aging process, that substantially diminishes the ability of the defendant to provide self care within the environment of a correctional facility and for which conventional treatment promises no substantial improvement.
  - (iii) The death or incapacitation of the defendant's only family member capable of caring for the defendant's minor child or minor children.
  - (iv) As determined by the Director of the Bureau of Prisons, there exists in the defendant's case an extraordinary and compelling reason for purposes of subdivision (1)(A). USSG §1B1.13, Application Note 1.

As we will see, with the passage of The First Step Act, subparagraph (iv) is no longer limited by what the BOP decides is extraordinary and compelling.

Historically, the BOP rarely filed motions under §3582(c)(1)(A), even when the inmates met the objective criteria for modification. See U.S. Dep't of Justice Office of the Inspector General, The Federal Bureau of Prisons Compassionate Release Program (Apr. 2013). The Office of the Inspector General also found that the BOP failed to provide adequate guidance to staff on the criteria for compassionate release, failed to set time lines for review of compassionate release requests, failed to create formal procedures for informing prisoners about compassionate release, and failed to generate a system for tracking compassionate release requests. *Id.* at i-iv.

Congress heard those complaints and in late 2018 enacted The First Step Act.

#### 3. The First Step Act

The First Step Act, P.L. 115-391, 132 Stat. 5194, at (Dec. 21, 2018), among other things, transformed the process for compassionate release. Id. at §603. Now, instead of depending upon the BOP to determine an inmate's eligibility for extraordinary and compelling reasons and the filing of a motion by the BOP, a court can resentence "upon motion of the defendant." A defendant can file an appropriate motion if the he or she has exhausted all administrative remedies or "the lapse of 30 days from the receipt of such a request by the warden of the defendant's facility, whichever is earlier." 18 U.S.C. §3582(c)(1)(A). The purpose and effect of this provision is to give federal courts the ability to hear and resentence a defendant even

in the absence of a BOP motion. Congress labeled this change "Increasing the Use and Transparency of Compassionate Release." 164 Cong. Rec. H10346, H10358 (2018). Senator Cardin noted in the record that the bill "expands compassionate release under the Second Chance Act and expedites compassionate release applications." 164 Cong. R. 199 at S7774 (Dec. 18, 2018). In the House, Representative Nadler noted that the First Step Act includes "a number of very positive changes, such as ... improving application of compassionate release, and providing other measures to improve the welfare of federal inmates." 164 Cong. R. H10346-04 (Dec. 20, 2018).

Once an inmate has pursued administrative remedies through the BOP, upon his or her motion, the sentencing court has jurisdiction and the authority to reduce a sentence if it finds "extraordinary and compelling reasons" to warrant a reduction. Judicial authority is no longer limited to cases that have the approval of the BOP.

## 4. Gonzalez Has Exhausted Administrative Remedies

A motion by an inmate can be filed in the district court after (1) the inmate has made the request to the Warden, and (2) either the request was denied or 30 days have lapsed from the receipt of the request, whichever is sooner. First Step Act of 2018, section 803(b), Pub. L. No. 115-391, 132 Stat. 5194, 5239 (2018).

Gonzalez filed a request for compassionate release to the J.C. Sreeval, Warden, USP Lee, which was denied on March 16, 2021. See Exhibit 1. Because the BOP failed to file a motion on Gonzalez's behalf, exhaustion of administrative remedies is not an issue in this case. See 18 U.S.C. § 3582(c)(1)(A).

## B. Gonzalez's Current Conditions of Confinement and Health Conditions

Gonzalez, age 48, suffers from incurable, progressive disease, from which Gonzalez will never recover, to wit: Hypertension and Hyperlipidemia.<sup>2</sup> He also suffers from obesity, spondylolisthesis, and other specified symptoms and signs involving the circulatory and respiratory systems. See Exhibit 2.

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<sup>&</sup>lt;u>Hypertension</u>. Hypertension is another name for high blood pressure. It can lead to severe health complications and increase the risk of heart disease, stroke, and sometimes death. Blood pressure is the force that a person's blood exerts against the walls of their blood vessels. This pressure depends on the resistance of the blood vessels and how hard the heart has to work. Hypertension is a primary risk factor for cardiovascular disease, including stroke, heart attack, heart failure, and aneurysm. Keeping blood pressure under control is vital for preserving health and reducing the risk of these dangerous conditions.

<sup>&</sup>lt;u>Hyperlipidemia</u>. Hyperlipidemia is dangerous because the extra cholesterol circulating in the bloodstream forms the basis for plaque lining the arteries. Plaque slows the flow of blood through the arteries, which is especially dangerous when it occurs in the heart. <u>Coronary artery disease</u> can result in angina or a heart attack. During a heart attack, a section of the heart muscle receives no oxygen because blood circulation in the heart arteries is blocked by plaque. Plaque can also break off from an artery wall and circulate in the body, causing a stroke or peripheral arterial disease.

<sup>&</sup>lt;u>Obesity</u>. Obesity is a complex disease involving an excessive amount of body fat. Obesity isn't just a cosmetic concern. It is a medical problem that increases your risk of other diseases and health problems, such as heart disease, diabetes, high blood pressure and certain cancers. Obesity is diagnosed when your body mass index (BMI) is 30 or higher. To determine your body mass index, divide your weight in pounds by your height in inches squared and multiply by 703. Or divide your weight in kilograms by your height in meters squared.

#### **Risk Factors:**

Obesity usually results from a combination of causes and contributing factors:

- Family inheritance and influences
- Lifestyle choices
- Social and economic issues
- Age
- Other factors

#### **Complications:**

People with obesity are more likely to develop a number of potentially serious health problems, including:

- <u>Heart disease and strokes</u>. Obesity makes you more likely to have high blood pressure and abnormal cholesterol levels, which are risk factors for heart disease and strokes.
- <u>Type 2 diabetes</u>. Obesity can affect the way your body uses insulin to control blood sugar levels. This raises your risk of insulin resistance and diabetes.
- <u>Certain cancers</u>. Obesity may increase your risk of cancer of the uterus, cervix, endometrium, ovary, breast, colon, rectum, esophagus, liver, gallbladder, pancreas, kidney and prostate.
- <u>Digestive problems</u>. Obesity increases the likelihood that you'll develop heartburn, gallbladder disease and liver problems.
- <u>Gynecological and sexual problems</u>. Obesity may cause infertility and irregular periods in women. Obesity also can cause erectile dysfunction in men.
- <u>Sleep apnea</u>. People with obesity are more likely to have sleep apnea, a potentially serious disorder in which breathing repeatedly stops and starts during sleep.
- Osteoarthritis. Obesity increases the stress placed on weight-bearing joints, in addition to promoting inflammation within the body. These factors may lead to complications such as osteoarthritis.

COVID-19 has infected hundreds of prisoners and staff in city jails, state prisons and federal prisons.

New York, California and Ohio were among the first to release incarcerated people. Other states have followed, saying it is the only way to protect prisoners, correctional workers, their families and the broader community.

Jails and prisons often lack basic hygiene products, have minimal health care services and are overcrowded. Social distancing is nearly impossible except in solitary confinement, but that poses its own dangers to mental and physical health.

While there is absolutely no evidence to support that any person is more or less likely to be infected [with COVID-19] based on existing medical conditions, Gonzalez's argues that, first, prisoners experience exponentially higher rates of COVID-19 than the general population. As of June 2020, "[t]he COVID-19 case rate for prisoners was 5.5 times higher than the US population case rate." Second, and more critically, older individuals and individuals with chronic medical conditions are at greater risk of hospitalization and death from COVID-19. For example, the CDC reports that persons aged 40 to 49 are 15 times more likely to be hospitalized and 130 times more likely to die from COVID-19 compared to persons aged 18 to 29 and younger. In other words, Gonzalez does not only contend that his health conditions

Brendan Saloner, et al., COVID-19 Cases and Deaths in Federal and State Prisons, J. of the Am. Med. Ass'n (July 8, 2020), <a href="https://jamanetwork.com/journals/jama/fullarticle/2768249">https://jamanetwork.com/journals/jama/fullarticle/2768249</a>.

Hospitalizations & Death by Age, CTRS. FOR DISEASE CONTROL & PREVENTION, https://www.cdc.gov/coronavirus/2019-ncov/covid-data/investigations-discovery/hospitalization-

increase his risk of getting COVID-19; but also, he contends that those conditions greatly increase the risk that, if contracted, his COVID-19 infection would be severe or even deadly.

#### BOP Amid Covid-19

One consequence of overcrowding is that prison officials have a difficult time providing adequate health care.

In 2011 the U.S. Supreme Court ruled that overcrowding undermined health care in California's prisons, causing avoidable deaths. The justices upheld a lower court's finding that this caused an "unconscionable degree of suffering" in violation of the Eighth Amendment's prohibition on cruel and unusual punishment.

Amid a worldwide pandemic, such conditions are treacherous. Some of the worst COVID-19 outbreaks in U.S. prisons and jails are in places – like Louisiana and Chicago – whose prison health systems have been ruled unconstitutionally inadequate. Criminologists and advocates say many more people should be released from jails and prison, even some convicted of violent crimes if they have underlying health conditions.

The decision to release prisoners cannot be made lightly. But arguments against it discount a reality recognized over two centuries ago: The health of prisoners and

death-by-age.html (last updated May 14, 2021).

communities are inextricably linked. Coronavirus confirms that prison walls do not, in fact, separate the welfare of those on the inside from those on the outside.

## C. <u>"Extraordinary and Compelling Reasons" Warrant a</u> Reduction in Gonzalez's Sentence

The principles of Compassionate Release allow for Gonzalez's early release.

As discussed above, the principles for release are no longer limited to BOP guidelines; federal courts have the power to determine what constitutes extraordinary and compelling circumstances.

### 1. <u>COVID-19 Is a Public Health Disaster That Threatens</u> Vulnerable Incarcerated Persons like Gonzalez.

The COVID-19 pandemic continues to roil the United States. As of April 29, 2021, the BOP has 126,247 federal inmates in BOP-managed institutions and 13,636 in community-based facilities. The BOP staff complement is approximately 36,000. There are 352 federal inmates and 815 BOP staff who have confirmed positive test results for COVID-19 nationwide. There have been 234 federal inmate deaths and 4 BOP staff member deaths attributed to COVID-19 disease. See <a href="https://www.bop.gov/coronavirus/">https://www.bop.gov/coronavirus/</a> (last accessed April 29, 2021). Bottom line, Federal facilities are not immune.

Conditions of confinement create an ideal environment for the transmission of highly contagious diseases like COVID-19. Because inmates live in close quarters,

there is an extraordinarily high risk of accelerated transmission of COVID-19 within jails and prisons. Inmates share small cells, eat together and use the same bathrooms and sinks. . . . . They are not given tissues or sufficient hygiene supplies"); Joseph A. Bick (2007). Infection Control in Jails and Prisons. Clinical Infectious Diseases 45(8):1047-1055, at <a href="https://academic.oup.com/cid/article/45/8/1047/344842">https://academic.oup.com/cid/article/45/8/1047/344842</a> (noting that in jails "[t]he probability of transmission of potentially pathogenic organisms is increased by crowding, delays in medical evaluation and treatment, rationed access to soap, water, and clean laundry, [and] insufficient infection-control expertise"). BOP employees are complaining that they lack masks and gloves, hand sanitizer, and even soap.

"The [BOP] management plan itself acknowledges [that] symptoms of COVID-19 can begin to appear 2-14 days after exposure, so screening people based on observable symptoms is just a game of catch up. . . . We don't know who's infected." *Manrique*, 2020 WL 1307109, at \*1.10. The Second Circuit recently observed, present information about the COVID-19 epidemic and the BOPs' prior failings in 2019 to adequately protect detainees and allow them access to counsel and their families following a fire and power outages suggest that the virus' impact will likely be "grave and enduring." *Fed. Defs. of New York, Inc. v. Fed. Bureau of Prisons*, (No. 19-1778) (2d Cir. Mar. 20, 2020).

2. Gonzalez's Vulnerability to COVID-19 Due to His High Medical Risk Is an Extraordinary and Compelling Reason That Warrants a Sentence Reduction.

Gonzalez is particularly vulnerable to COVID-19 because of his hypertension, hyperlipidemia, and obesity. At the time of sentencing, the Court could not have anticipated that Gonzalez's diseases will place him in the "high risk" category nor the existence of the COVID-19. As the COVID-19 pandemic continues, it potentially poses a particular issue for older people and people with pre-existing medical conditions (such as serious heart condition, lung disease, and autoimmune disease) appear to be more vulnerable to becoming severely ill with the COVID-19 virus.

## Lung Problems, Including Asthma

COVID-19 targets the lungs, so you're more likely to develop severe symptoms if you have preexisting lung problems, such as: Moderate to severe asthma, Chronic obstructive pulmonary disease (COPD), Lung cancer, Cystic fibrosis, Pulmonary fibrosis. In addition to being an asthma trigger, smoking or vaping can harm your lungs and inhibit your immune system, which increases the risk of serious complications with COVID-19.

### Heart Disease, Diabetes and Obesity

People with diabetes, heart disease, high blood pressure or severe obesity are more likely to experience dangerous symptoms if infected with COVID-19. This may be of particular concern in the United States, which has seen increasing rates of obesity and diabetes over the years.

Obesity and diabetes both reduce the efficiency of a person's immune system. Diabetes increases the risk of infections in general. This risk can be reduced by keeping blood sugar levels controlled and continuing your diabetes medications and insulin. Your risk of serious illness may also

be higher if you have heart diseases such as cardiomyopathy, pulmonary hypertension, congenital heart disease, heart failure or coronary artery disease.

## How SARS-COV-2 Causes Disease and Death in COVID-19

"You'd think underlying lung problems or immune system problems will be the greatest risk," says Dr. Levitt. "But it seems the biggest risk factors have been hypertension, diabetes and obesity." That has led many scientists to suspect that the profound inflammation seen in severe cases of COVID-19 may be yet another problem linked to SARS-COV-2's fondness for ACE2. People with diabetes, hypertension and heart disease have more ACE2 on their cells as a response to the higher levels of inflammation that come with their condition; ACE2 has an anti-inflammatory effect. When SARS-COV-2 sticks to ACE2 and reduces its ability to do its job, the underlying inflammation gets worse.

When inflammation gets completely out of control the body enters what is called a cytokine storm. Such storms drive the most severe outcomes for COVID-19, including multi-organ failure. There is thus an obvious role for anti-inflammatory drugs. But knowing when to administer them is hard. Go too late, and the storm will be unstoppable; go too early, and you may dampen down an immune response that is turning the tide. A recent article in the Lancet suggests that it would help if COVID-19 patients were routinely screened for hyper-inflammation to help identify those who might benefit from anti-inflammatory drugs. But not everyone is convinced today's drugs have much to offer. "We tried [a range of anti-inflammatory treatment] and it actually didn't work," says Rajnish Jaiswal, who has been working on the front line of COVID-19 treatment at New York's Metropolitan Hospital.

https://www.economist.com/briefing/2020/06/06/how-sars-cov-2-causes-disease-a nd-death-in-covid-19.

Hence, it is appropriate for Gonzalez to be released into an environment where he and his loved ones can control and direct his medical care. It is important for all

of us to remember that convicted criminals are sent to prison as punishment—not for punishment. People who are severely debilitated or are in the midst of dying are usually no longer a threat to society, and there is not a compelling social advantage to keeping them in prison.

#### Note:

According to the Centers for Disease Control and Prevention ("CDC"), COVID-19 is a new disease and there is limited information regarding risk factors for severe disease. Based on currently available information and clinical expertise, older adults and people of any age who have serious underlying medical conditions might be at higher risk for severe illness from COVID-19.

- a. Based on what we know now, those at high-risk for severe illness from COVID-19 are:
  - People 60 years and older
  - People who live in a nursing home or long-term care facility
- b. People of all ages with underlying medical conditions, particularly if not well controlled, including:
  - Cancer
  - Chronic kidney disease
  - Chronic lung diseases, including COPD (chronic obstructive pulmonary disease), asthma (moderate-to-severe), interstitial lung disease, cystic fibrosis, and pulmonary hypertension
  - Dementia or other neurological conditions
  - Diabetes (type 1 or type 2)
  - Down syndrome
  - Heart conditions (such as heart failure, coronary artery disease, cardiomyopathies or hypertension)
  - HIV infection
  - Immunocompromised state (weakened immune system)
  - Liver disease

- Overweight and obesity
- Pregnancy
- Sickle cell disease or thalassemia
- Smoking, current or former
- Solid organ or blood stem cell transplant
- Stroke or cerebrovascular disease, which affects blood flow to the brain
- Substance use disorders

are the hallmark of those who are most endangered by the instant pandemic. These are "extraordinary and compelling reasons" for his release. See Note 1(A), § 1B1.13 (expressly recognizing that "other reasons" may exist for granting compassionate release), see Note 1(D), § 1B1.13 Note 1(D) (recognizing that extraordinary and compelling reasons exists "other than, or in combination with, the reasons described in subdivisions (A) through (C)."). Here, Gonzalez's high susceptibility to COVID-19 falls within the purview of this catchall. Moreover, courts have noted that while § 1B1.13 provides "helpful guidance" for determining what constitutes an extraordinary and compelling reason to warrant a sentence reduction, the inquiry does not end there. Rather, district courts have the freedom to shape the contours of what constitutes an extraordinary and compelling reason to warrant compassionate release. Given the highly infectious nature of COVID-19, the inability in a facility like FCI to practice any of the hygienic and social distancing techniques that the Center for Disease Control has put in place to prevent rapid transmission, and the fact that Gonzalez suffers from ailments that have already been identified as "high risk," this Court should find that Gonzalez's legitimate medical risk is a sufficiently extraordinary and compelling basis for granting compassionate release.

A recent letter by fourteen U.S. senators of both parties underscores this position. Writing to U.S. Attorney General William Barr and BOP Director Michael Carvaial, they stated: "[We] urge you to take necessary steps to protect [inmates in Federal custody particularly by using existing authorities under the First Step Act (FSA)... We have reviewed the Federal Bureau of Prisons (BOP) COVID-19 Action Plan, which . . . notably does not include any measures to protect the most vulnerable staff and inmates. . . . [I]t is important . . . that the most vulnerable inmates are released or transferred to home confinement, if possible." And as the Second Circuit noted about COVID-19 in a unanimous recent opinion, "The impact of this recent emergency on jail and prison inmates, their counsel . . . , the United States Attorneys, and the BOP, including the individual Wardens and the personnel of each facility, is just beginning to be felt. Its likely course we cannot foresee. Present information strongly suggests, however, that it may be grave and enduring." Fed. Defs. of New York, Inc., 2020 WL 1320886, at \*12.

Finally, in the last few months, other jails and prisons have already started to proactively release elderly and sick inmates who are at high risk of infection, as well

as releasing as many nonviolent offenders as possible in an effort to reduce the incarcerated population and thus reduce the risk of spread. For example, on March 25, 2020, New York City announced that it would release 300 inmates from Rikers Island. Approximately 1,700 inmates have been released from Los Angeles County Jails, and 1,000 inmates are to be released from New Jersey jails. Therefore, while COVID-19 remains an unprecedented emergency, many states (and politicians) have recognized that they have a duty to flatten the curve inside incarcerated spaces. So, too, should this Court.

## 3. <u>Courts Have Granted Compassionate Release in Light of</u> the Instant Pandemic.

Courts in the Southern and Eastern Districts of New York have granted compassionate release based on COVID-19. See *United States v. Wilson Perez*, No. 17 Cr. 513 (AT) (S.D.N.Y. Apr. 1, 2020), ECF No. 98, (granting release based on health issues and finding court could waive exhaustion requirement; government did not object based on defendant's medical conditions); *United States v. Mark Resnick*, No. 12 Cr. 152 (CM) (S.D.N.Y. April 2, 2020), ECF No. 461 (granting compassionate release because of defendant's age and medical conditions in light of COVID-19); *United States v. Eli Dana*, No. 14 Cr. 405 (JMF) (S.D.N.Y. Mar. 31, 2020), ECF No. 108 (granting compassionate release motion, where government consented, because

of defendant's age and medical conditions and the risk posed by COVID-19); *United States v. Damian Campagna*, No. 16 Cr. 78 (LGS), 2020 WL 1489829, at \*1 (S.D.N.Y. Mar. 27, 2020) (granting compassionate release sentencing reduction to defendant convicted of firearms offenses based on defendant's health and threat he faced from COVID-19; government consented to reduction and agreed health issues and COVID-19 were basis for relief); *United States v. Daniel Hernandez*, No. 18 Cr. 834 (PAE) (S.D.N.Y. Apr. 1, 2020), ECF No. 446 (granting compassionate release after BOP denied the request and converting remaining sentence to home confinement).

So, too, have courts across the country. See *United States v. Andre Williams*, No. 04 Cr. 95 (MCR) (N.D. Fla. Apr. 1, 2020) (granting release based on defendant's health and COVID-19); *United States v. Teresa Ann Gonzalez*, No. 18 Cr. 232 (TOR) (E.D. Wa. Mar. 25, 2020), ECF No. 834 (waiving any further exhaustion attempts as futile and granting compassionate release based on defendant's health issues and COVID-19 pandemic); *United States v. Jeremy Rodriguez*, No. 03 Cr. 271 (AB) (E.D. Pa. Apr. 1, 2020), ECF No. 135 (finding court has independent authority to determine "extraordinary and compelling" reasons and granting compassionate release based in part on defendant's health and COVID-19; no exhaustion issue because 30 days had passed); *United States v. Pedro Muniz*, No. 09 Cr. 199 (S.D. Tex. Mar. 30, 2020),

ECF No. 578 (granting compassionate release based on health conditions that made inmate susceptible to COVID-19); United States v. Samuel H. Powell, No. 94 Cr. 316 (ESH) (D.D.C. Mar. 27, 2020), ECF No. 97 (granting compassionate release for 55-year old defendant with respiratory problems in light of outbreak, without waiting for 30 days or other exhaustion of administrative remedies through the BOP); United States v. Agustin Francisco Huneeus, No. 19 Cr. 10117 (IT) (D. Mass. Mar. 17, 2020), ECF No. 642 (granting defendant's emergency motion based on COVID-19); US v. Foster, No. 1:14-cr-324-02 (M.D. Pa. Apr. 3, 2020) ("The circumstances faced by our prison system during this highly contagious, potentially fatal global pandemic are unprecedented. It is no stretch to call this environment 'extraordinary and compelling,' and we well believe that, should we not reduce Defendant's sentence, Defendant has a high likelihood of contracting COVID-19 from which he would "not expected to recover." USSG §§ 1B1.13. No rationale is more compelling or extraordinary."); US v. Powell, No. 1:94-cr-0316-ESH (D.D.C. Mar. 24, 2020), Recommendation, Dkt. 94 (Court recommendation to BOP to immediately place defendant, who is 55-years old and suffers from several respiratory problems (including asthma and sleep apnea) into home confinement to serve the remainder of his prison term). See also, United States v. Watkins, Case No. 15-20333 (E.D. Mich. Jul. 16, 2020), granting compassionate release to prisoner whose only underlying

condition was previously-treated latent TB; and Singh v. Barr, No. 20-CV-02346-VKD, 2020 WL 1929366, at \*10 (N.D. Cal. Apr. 20, 2020) (granting release from immigration custody for petitioner with latent TB, hypertension, and obesity); and United States v. Gerard Scparta, No. 18 Cr. 578 (AJN), ECF Dkt. 69 (S.D.N.Y. Apr. 19, 2020). In Scparta, Judge Nathan granted a compassionate release motion of a 55-year old defendant who suffers from high blood pressure, high cholesterol, sleep apnea, and hypertension. The court found that it could waive § 3582(c)(1)(A)'s 30-day waiting period and hear the motion, and describes FCI Butner's "Kafkaesque" "14-day quarantine" process—which is neither a true "quarantine" nor actually limited to 14 days—before releasing inmates to home confinement.

#### 4. RICO Conspiracy

Due to the extraordinary and unique circumstances arising after the judgment was entered in this case, Gonzalez's sentence is now in violation of the constitution and the laws of the United States and his sentence must be vacated for resentencing pursuant to U.S.S.G. § 2E1.1.

## Base Offense Level Pursuant to § 2A1.1

A modification under section 3582(c)(2) is warranted in Gonzalez's case.

Amendment 591 changed §§ 1B1.1 and 1B1.2 of the guidelines, the Application Note

to § 1B1.2, and the Introduction to the Statutory Index (Appendix A). *United States* v. *Diaz*, 245 F.3d 294, 301 (3d Cir. 2001). "The new Application Note unequivocally provides that the court is to use the guideline provided in the Statutory Index (Appendix A) for the offense of conviction," *id.* at 302 (internal quotation marks removed), rather than a guideline based on judicial findings of actual conduct that did not constitute an element of the offense.

The Statutory Index directs the sentencing court to § 2E1.1 on Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations for convictions under 18 U.S.C. §§ 1962(d) and 1962(c). The base offense level under § 2E1.1 is 19 or "the offense level applicable to the underlying racketeering activity," whichever is greater. U.S.S.G. § 2E1.1. Application Note 2 to § 2E1.1 provides that if the underlying conduct violates state law, the offense level corresponding to the most analogous federal offense is to be used. *Id.*, Application Note 2.

In this case, the Court held that the predicate acts of murder and conspiracy to commit murder violate New Jersey law. Specifically, a violation of N.J. Stat. Ann. § 2C:11-3a occurs when: (1) The actor purposely causes death or serious bodily injury resulting in death; or (2) The actor knowingly causes death or serious bodily injury resulting in death...." It is a crime in the first degree. N.J. Stat. Ann. § 2C:11-3b(1). The analogous federal statute is the murder statute, 18 U.S.C. § 1111. The sentencing

offense level of 43. A base offense level of 43 carries a sentence of life in prison, the same sentence Gonzalez is serving. Because Gonzalez's predicate charges for conspiracy and murder were dismissed (instead, he pled guilty to gun possession, for which he was incarcerated from April 3, 1992 to May 2, 1994). See PSR ¶ 133-136.

In short, applying the guideline pursuant § 2A1.1 as the basis for determining the base offense level in Gonzalez' case was erroneous in view of the fact that Gonzalez was never convicted of "murder."

In Apprendi and the cases that followed, the Supreme Court has said that any fact relied upon to enhance a defendant's sentence beyond the maximum otherwise set by statute must be proven to the jury beyond a reasonable doubt unless the defendant waives that right. Those declarations, however, have always included a caveat—except for the fact of a prior conviction.<sup>5</sup>

Apprendi v. New Jersey, 530 U.S. 466, 490 (2000) ("Other than the fact of a prior conviction, any fact that increases the penalty for a crime beyond the prescribed statutory maximum must be submitted to a jury, and proved beyond a reasonable doubt"); Blakely v. Washington, 542 U.S. 296, 301 (2004) (quoting Apprendi language); United States v. Booker, 543 U.S. 220, 244 (2005) ("Any fact (other than a prior conviction) which is necessary to support a sentence exceeding the maximum authorized by the facts established by a plea of guilty or a jury verdict must be admitted by the defendant or proved to a jury beyond a reasonable doubt").

#### Drug Calculation

The Third Superseding Indictment alleges that the RICO conspiracy started from 1992 to 1995. And that Gonzalez was the leader and organizer of the case. It is essential to note that Gonzalez was incarcerated from April, 1992 to in or about June, 1994 and the evidence at trial show that the conspiracy started in October 1994. The PSR states that although Gonzalez was incarcerated from April, 1992 to in or about June, 1994, Frankie Gonzalez continued the recruitment... acted as substitute leader of the organization during the incarceration of Victor Gonzalez. See PSR ¶ 28.

The evidence at trial show the conspiracy started in October, 1994. There was no evidence presented in Court to prove that Gonzalez was indeed accountable for between 10 and 30 kilograms of heroin. No physical evidence was presented to show beyond doubt that Gonzalez was involved in any drug conspiracy, nor was there any evidence to attest that he was giving orders or receiving money (i.e. no phone call records).

In Apprendi, the Supreme Court held that the Sixth Amendment to the Constitution requires that any fact that increases the penalty for a crime beyond the prescribed statutory maximum, other than the fact of a prior conviction, must be submitted to a jury and proved beyond a reasonable doubt. In Alleyne, the Court applied Apprendi to the federal mandatory minimum and maximum sentencing

scheme and held that because mandatory minimum sentences increase the penalty for a crime, any fact that increases the mandatory minimum is an element of the crime that must be submitted to the jury. *Id.* at 116, 133 S.Ct. 2151 (overruling *Harris v. United States*, 536 U.S. 545, 122 S.Ct. 2406, 153 L.Ed.2d 524 (2002)).

Alleyne made clear that in order to preserve a defendant's Sixth Amendment right to a jury trial, any fact that increases the statutory mandatory minimum sentence is an element of the crime which must be submitted to the jury. Alleyne, 570 U.S. at 116, 133 S.Ct. 2151. In this case, Gonzalez was found guilty of Counts one, two, and three of the Third Superseding Indictment for conspiracy to distribute and possess with intent to distribute more than 1 kilogram of heroin. Under Alleyne, this court is not free to ignore that finding and impose a penalty based on between 10 and 30 kilograms of heroin referenced in the PSR.

## 5. Gonzalez's Remarkable Rehabilitation

It is essential to also note that since Gonzalez's incarceration began, he has taken numerous steps to attempt to improve himself in "post-conviction rehabilitation." See Exhibit 3. Throughout the time he has spent in prison, Gonzalez has worked long and hard and diligently at his rehabilitation. Gonzalez's commitment to rehabilitation is found in his participation in educational opportunities while in prison. Gonzalez has taken several classes. Gonzalez has no serious incidents in over

seven (7) years now and has been maintaining a clear conduct. Hence, there can be no genuine safety concerns on his release. His extraordinary rehabilitation shows that he is ready for re-entry.

Gonzalez urges the Court to consider the following *Redd* case citations:

- United States v. Sain, Case No. 07-20309 (E.D. Mich. Oct. 6, 2020) quoting United States v. Redd, 444 F. Supp. 3d 717, 729 (E.D. Va. 2020) (finding sentence reduction proper where inmate "demonstrated a commitment to self-improvement, devoting hundreds of hours to vocational programs, assisting others in their rehabilitative efforts, exhibiting solid work habits, caring for mental health inmates, and in the process exceeding his supervisor's expectations across most, if not all, areas of work"); United States v. Parker, No. 98-CR-00749, 2020 WL 2572525, at \*11 (C.D. Cal. May 21, 2020) (collecting cases).
- United States v. Goldberg, Criminal Action No. 12-180 (BAH) (D.D.C. Apr. 13, 2020) quoting United States v. Redd, No. 1:97-cr-6, 2020 WL 1248493, at \*8 (E.D. Va. Mar. 16, 2020) (finding that courts may consider "extraordinary and compelling reasons based on facts and circumstances other than those set forth in U.S.S.G. §1B1.13 cmt. n.1(A)-(C)"); United States v. Young, No. 2:00-cr-2, 2020 WL 1047815, at \*6 (M.D. Tenn. Mar. 4, 2020) ("[D]istrict courts themselves have the power to determine what constitute extraordinary and compelling reasons for compassionate release."); United States v. Maumau, No. 2:08-cr-758, 2020 WL 806121, at \*4 (D. Utah Feb. 18, 2020) (concluding that the court "has the discretion to provide [the defendant] with relief, even if his situation does not fall directly within the Sentencing Commission's current policy statement.")
- United States v. Harpine, Case No. 6:91-cr-60156-MC (D. Or. Mar. 27, 2020) quoting United States v. Redd, No. 1:97-cr-00006-AJT, 2020 WL 1248493, at \*10 (E.D. Va. Mar. 16,

- 2020) (granting compassionate release after recognizing "overwhelmingly positive" prison conduct that was "reflective of substantial rehabilitation"); *United States v. Davis*, No. PJM 00-424-2, 2020 WL 1083158, at \*2 (D. Md. Mar. 5, 2020) (granting compassionate release and specifically recognizing that the defendant "has been incarcerated for close to twenty years without a single disciplinary infraction"); *United States v. Perez*, No. 88-10094-1-JTM, 2020 WL 1180719, at \*3 (D. Kansas Mar. 11, 2020) (granting compassionate release based on time served and good conduct).
- United States v. Clark, Case No. 11-CR-30-2-JPS (E.D. Wis. Jul. 23, 2020) quoting that in Redd, the Court evaluated whether extraordinary and compelling reasons existed to reduce the sentence by considering (1) the sentence the defendant originally received compared to the one he would receive today; (2) the disparity between those sentences; and (3) the reason for that disparity. Redd, 2020 WL 1248493, at \*5. There, the court determined that the disparity was "primarily the result of Congress' conclusion that sentences like [defendant's] are unfair and unnecessary." Id. at \*6.
- United States v. Brooks, Case No. 07-cr-20047-JES-DGB (C.D. Ill. May. 15, 2020) quoting that in Redd, the district court held "a court may find, independent of any motion, determination or recommendation by the BOP Director, that extraordinary and compelling reasons exist based on facts and circumstances other than those set forth in U.S.S.G. § 1B1.13 cmt. n.1(A)-(C) and that the reasons it has determined in this case constitute extraordinary and compelling reasons warranting a sentence reduction satisfy any requirement for consistency with any applicable policy statement."

#### D. Recidivism Risk Level

In his over twenty-five (25) years of imprisonment, Gonzalez has matured from a rash young man pursuing a lawless lifestyle, to a reflective, empathetic middle-aged adult. According to the Overview of Federal Criminal Cases published by the United States Sentencing Commission for the fiscal year of 2020, the average sentence imposed for murder is 255 months, about forty-eight (48) months more than Gonzalez's already served sentence for RICO conspiracy. U.S. SENTENCING COMM'N, OVERVIEW OF FEDERAL CRIMINAL CASES, FISCAL YEAR 2020, at 9 (2021). However, Gonzalez was not sentenced for murder, but rather for racketeering conspiracy. This guideline instructs to apply the offense level from the offense guideline applicable to underlying offense, to wit: First Degree Murder, which is found in USSG § 2A1.1(a). He was 20 years old when he committed the instant offense, thus he has served more than half of his life behind bars. This is significant punishment for his RICO conspiracy, depriving him of "the family life" that he "cherish[es] more than anything."

Note: Because Gonzalez was only 20 years only when the instant offense was committed, Gonzalez urges this Court to consider the University of Kentucky, College of Agriculture, Food and Environment's Research, "recognizing that brain continues to go through many changes in adolescence and that these changes affect

Factoring in Gonzalez's rehabilitation and relatively negligible disciplinary record for over 25 years, his continued risk to the public if released appears to be markedly reduced as recidivism declines with age, particularly when tempered by significant rehabilitation. Gonzalez is now 48 years old, making him substantially less likely to recidivate than a younger offender. According to a report by the U.S. Sentencing Commission, Gonzalez, at 45 to 49 years old, has a recidivism rate of 10.1 percent. See U.S. Sent'g Comm'n, The Effects of Aging on Recidivism Among Federal Offenders 3 (Dec. 2017) (reporting that "recidivism measured by rearrest, reconviction, and reincarceration declined as age increased" and that offenders aged 65 or older had a rearrest rate of 2.1 percent, as compared to 16.4 percent for offenders younger than 29; and 18.3% for offenders younger than 34). Given the length of his imprisonment, his personal rehabilitation, and deeply felt remorse, the Court must conclude that deterrence and public protection are no longer strong § 3553(a) factors weighing in favor of continued detention.

Under 18 U.S.C. § 3582(c)(2), to modify Gonzalez's sentence, taking into account the advisory nature of the guidelines after *Booker* and the considerations set

forth in 18 U.S.C. § 3553(a). The court should find that a sentence of time served is sufficient, but not greater than necessary, and accounts for the sentencing factors the court must consider pursuant to 18 U.S.C. § 3553(a), specifically deterrence, protection of the public, and respect for the law.

Additionally, Gonzalez also contends that evidence of his post-sentencing rehabilitation warrants a reduction. Gonzalez's sentence would result in unwarranted sentencing disparities among similarly situated defendants. More so, his BOP record does not show that he is violent or a threat to public safety. This sentence also avoids unwarranted sentencing disparities.

Finally, the combination of factors, age, health conditions, COVID-19 risk, as well as length of time already served, post-conviction rehabilitation, and the changing sentencing landscape justify granting compassionate release to Gonzalez. Otherwise, it would result in an unwarranted sentencing disparity among similarly situated defendants and his BOP record does not show that he is danger to the community.

#### IV. CONCLUSION

For the above and foregoing reasons, Gonzalez prays this Court would consider his Motion for Compassionate Release pursuant to 18 U.S.C. § 3582(c)(1)(A) and First Step Act of 2018, based upon the "extraordinary and compelling reasons" and release him to home confinement or hold a hearing as soon as possible.

Respectfully submitted,

Dated: July 13 ,2021

VICTOR GONALEZ REG: NO. 19769-050

USP LEE

U.S. PENITENTIARY

P.O.BOX 305

JONESVILLE, VA 24263

Appearing Pro Se

#### CERTIFICATE OF SERVICE

I hearby certify that on July 13, 2021, a true and correct copy of the above and foregoing Motion for Compassionate Release pursuant to 18 U.S.C. § 3582(c)(1)(A) and the First Step Act 2018 was sent via U.S. Mail, postage prepaid, Andrew B. Johns, Assistant U.S. Attorney at U.S. Attorney's Office, 401 Market Street, 4th Floor, P.O. Box 2098, Camden, NJ 08101

VICTOR GONZALEZ

# **EXHIBIT 1:**"Administrative Remedies"

#### INMATE REQUEST TO STAFF MEMBER

NAME: GONZALEZ, Victor DATE OF REQUEST: 03-15-2021

REGISTER NUMBER: 19769-050 UNIT: B

This is in response to your request for a Reduction in Sentence (RIS) pursuant to Program Statement 5050:50 and 18 USC 3582(c) (I) (A) and/or 4205(g). You request a RIS and indicate you are eligible due to extraordinary or compelling circumstances.

In accordance with Program Statement 5050.50, <u>Compassionate Release/Reduction in Sentence:</u>
<u>Procedures for Implementation of 18 USC 3582(c) (1) (A) and 4205(g)</u>; Section 2, Requests Based on Initiation of Request-Extraordinary or Compelling Circumstances. You have been assessed using the factors outlined in Section 2. You are serving a LIFE sentence for Conspiracy to Commit RICO; Conspiracy to Distribute and Possess with Intent to Distribute more than One Kilogram of Heroin.

Due to the circumstances of your offense and information you provided, we do not believe your circumstances are extraordinary or compelling. Therefore, your request for a RIS is denied. Granting your request would minimize the severity of your offense.

J. G. Streeval, Warden

Date

3/16/21

# EXHIBIT 2 (MEDICAL RECORDS)

# Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 38 of 91 PageID: 3362 Bureau of Prisons

### Bureau of Prisons Health Services

### **Patient Education Assessments & Topics**

| Reg #: 19769-050  | Inmate Name: GONZALEZ, VICTOR    |
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| Replas 19709-050  | Inmate Name: GLINZALEZ VII. I UK |
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### Assessments

| <u>Provider</u>          |
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| řicipation Hounshell, K. |
|                          |
| standing Herrell, P      |
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<sup>.</sup> Generated 02/01/2021 15:05 by Cozort, Melanie HTT

Reg #: 19769-050

Inmate Name: GONZALEZ, VICTOR

|                | Topics            |                    |                               |                           |                          |  |  |
|----------------|-------------------|--------------------|-------------------------------|---------------------------|--------------------------|--|--|
| Date Initiated | 1 Format          | Hando              | I/Topic                       | Outcome                   | <u>Prövider</u>          |  |  |
|                | Orig Entered: 11/ | 19/2020 13:03 EST  | Herrell, P-K                  |                           |                          |  |  |
| 11/19/2020     | Counsel           | ing Weight         | Loss                          | Verbalizes Understanding  | Herrell, P               |  |  |
|                | Orig Entered: 11/ | 19/2020 13:03 EST  | Herrell, P.K                  |                           |                          |  |  |
| 11/19/2020     | Counsel           | ing Risk va        | . benefit of treatment        | Verbalizes Understanding  | Herrell, P.              |  |  |
| •              | Orig Entered: 11/ | 19/2020 13:03 EST  | Herrell, P K                  |                           |                          |  |  |
| 11/19/2020     | Counsel           | ing Plan of        | Care                          | Verbalizes Underständing  | Henell, P                |  |  |
|                | Orig Entered: 11/ | 19/2020 13:03 EST  | Herrell, P K                  |                           |                          |  |  |
| 11/03/2020     | Counsel           | ling Access        | to Care                       | Verbalizes Understanding  | Herrell, P               |  |  |
|                | Orig Entered: 11/ | 03/2020 08:45 EST  |                               |                           |                          |  |  |
| 11/03/2020     | Counsel           | ing Compli         | ance - Treatment              | Verbalizes Understanding  | Hèrrell, P               |  |  |
|                | Orig Entered: 11/ | 03/2020 08:45 EST  | Herrell, PK                   |                           |                          |  |  |
| 11/03/2020     | Counsel           |                    |                               | Verbalizes Understanding  | Herrell <sub>i</sub> , P |  |  |
|                |                   | 03/2020 08:45 EST  |                               |                           |                          |  |  |
| 11/03/2020     | Counsel           |                    |                               | Verbalizes Underständing  | Herráll, P               |  |  |
|                |                   | 03/2020 08:45 EST  | 2                             | . 4                       |                          |  |  |
| 11/03/2020     | •                 |                    |                               | Verbalizes Understanding  | Herrêlt, P               |  |  |
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| 11/03/2020     |                   |                    | s. benefit of treatment       | Verbalizes Understanding  | Herrell, P               |  |  |
|                |                   | 03/2020 08:45 EST  |                               |                           |                          |  |  |
| 11/03/2020     |                   | líng, Plan ở       |                               | Verbalizes Understanding  | Herrell, P               |  |  |
|                |                   | 03/2020 08:45 EST  |                               |                           | 5                        |  |  |
| 09/15/2020     | Medicati          |                    | prozil 600 MG TAB             | Pharmacy No participation | Hounshell, K.            |  |  |
|                |                   | 15/2020 15:16 EST  |                               |                           |                          |  |  |
| 08/25/2020     | Medicat           |                    | DIPine 10 MG TAB              | Pharmacy No participation | Hounshell, K.            |  |  |
| *              |                   | 25/2020 13:34 EST  |                               |                           |                          |  |  |
| 08/25/2020     | Medical           |                    | statin 40 MG TAB              | Pharmacy No participation | Hounshell, K.            |  |  |
|                |                   | 25/2020 13:34 EST  |                               |                           | 11 1 10.12               |  |  |
| 08/25/2020     | Medicat           | •                  | erene/ HCTZ 37.5 MG/25 MG Tab | Pharmacy No participation | Hounshell, K.            |  |  |
|                | Orig Enterod: 08/ | /25/2020 13:34 EST | Hounshell, K.                 |                           |                          |  |  |

<sup>.</sup> Generated 02/01/2021 15:05 by Cozort, Melania HIT

Reg #: 19769-050

Inmate Name: GONZALEZ, VICTOR

|               |   |   | Topics   |                |
|---------------|---|---|--|----------------|
| Date Initiate | d. <u>Format</u>                          | Handout/Topic                           | Outcome.   | Provider       |
| 08/25/2020    | Counseling                                | Access to Care                          | Verbalizes Understanding   | York, Timothy  |
|               | Orig Entered: 08/26/2020 14               | :39 EST York, Timothy                   |  |                |
| 08/25/2020    | •   | Diet                                    | Verbalizes Understanding   | York, Timothy  |
| и. * .        | Orig Entered: 08/26/2020 14               |   |  |                |
| 08/25/2020    | = 4 ·····= -, w · • .                     | Exercise                                | Verbalizes Understanding   | York, Timothy  |
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| 08/25/2020    |   | Test/X-ray Results                      | Verbalizes Understanding   | York, Timothy  |
| 00/05/0000    | Orig Entered: 08/26/2020 14               |   | General Community of the Community of th | vest further   |
| .08/25/2020   | •   |   | Verbalizes Understanding   | York, Timothy  |
| 08/25/2020    | Orig Entered: 08/26/2020 14<br>Counseling | , | Verbalizes Understanding   | Yőrk, Timölhý  |
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| 08/25/2020    | **  | Diet                                    | Verbalizes Understanding   | York, Timothy  |
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| 08/25/2020    | • • • •                                   | Exercise                                | Verbalizes Underständing   | York, Timothy  |
|               | Orig Entered: 09/08/2020 13               | 14 EST York, Timothy                    |  |                |
| 08/25/2020    | Counseling                                | Plan of Care                            | Verbalizes Understanding   | York: Timothy  |
|               | Orig Entered: 09/08/2020 13               | :14 EST York, Timothy                   |  |                |
| 08/16/2020    |   | •                                       | Verbalizes Understanding   | Horst, C.      |
|               | Orig Entered: 08/16/2020 14               |   |  |                |
| 08/16/2020    | Counseling                                | Plan of Care                            | Verbalizes Understanding   | Horst, C.      |

Orig Entered: 08/16/2020 14:08 EST Horst, C. 08/03/2020 Counseling Compliance - Treatment

Orlg Entered: 08/03/2020 19:58 EST Kinnick, T. Plan of Care

08/03/2020. Counseling Orig Entered: 08/03/2020 19:58 EST Kinnick, T.

Counseling Risk vs. benefit of treatment 08/03/2020

Orig Entered: 08/03/2020 19:58 EST Kinnick, T.

04/18/2020 Access to Care Counseling Verbalizes Understanding Sweeney, D.

Verbalizes Underständing

Verbalizes Understanding

Verbalizes Understanding

Kinnick, T.

Kinnick, T.

Kinnick, T.

Reg #: 19769-050

Inmate Name: GONZALEZ, VICTOR

### Topics

| Date Initiate | d <u>Format</u>    | Hando             | ut/Tòpic                         | <u>Outcome</u>            | Provider      |
|---------------|--------------------|-------------------|----------------------------------|---------------------------|---------------|
|               | Orig Entered: 04/  | 8/2020 17:48 EST  | Sweeney, D.                      |                           |               |
| 04/18/2020    | Counseli           | ng Plano          | f Care                           | Verbalizes Understanding  | Sweeney, D.   |
|               | Orig Entered: 04/  | 8/2020 17:48 EST  | Sweeney, D.                      |                           |               |
| 04/15/2020    | Counsel            | ng Acces          | s to Čare                        | Verbalizes Understanding  | Bice, K.      |
|               | Orig Entered: 04/  | 15/2020 14:13 EST | Bice, K. RN,                     |                           |               |
| 03/09/2020    | Medicati           | on amLQ           | DIPINE 10 MG TAB                 | Pharmacy No participation | Kloepping, A. |
|               | Orig Entered: 03/  | 9/2020 12:25 EST  | Kloepping, A.,                   |                           |               |
| 02/27/2020    | Counsel            | ng Comp           | llance - Treatment               | Verbalizes Understanding  | Ross, T.      |
|               | Orig Entered: 03/  | 02/2020 17:09 EST | Ross, T.                         |                           |               |
| 02/27/2020    | Counsel            | ng Diet           |                                  | Verbalizes Understanding  | Ross, T.      |
|               | Orlg Entered: 03/  | 02/2020 17:09 EST | Ross <sub>t</sub> T <sub>e</sub> |                           |               |
| 02/27/2020    | Counsel            | ng Exerci         | se ·                             | Verbalizes Understanding  | Ross, T.      |
|               | Orig Entered: 03/6 | 02/2020 17:09 EST | Ross, T.                         |                           |               |
| 02/27/2020    | Counsel            | ng Medic          | ătion Side Effects               | Verbalizes Understanding  | Ross, T.      |
|               | Orig Entered: 03/  | 02/2020 17:09 EST | Ross, T.                         |                           |               |
| 02/27/2020    |                    | ng Plan d         |                                  | Verbalizes Understanding  | Ross, T.      |
|               | Orig Entered: 03/  | 02/2020 17:09 EST | Ross, T.                         | •                         |               |

Total: 48

## Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 42 of 91 PageID: 3366 Bureau of Prisons

### Bureau of Prisons Health Services Health Problems

| Reg #: 19769-050 Inmate Name: GONZ   | ALEZ, VICTOR |                     |             |                     |             |
|--|--------------|---------------------|-------------|---------------------|-------------|
| Description  | Axis         | Code Type           | <u>Code</u> | Diag. Date Status   | Status Date |
|  | Current      |                     |             |                     |             |
| Hyperlipidemia, mixed  |              |                     |             |                     |             |
| 12/15/2015 13:41 EST Crites, Kristi CRNP<br>diet/exercise/weight loss                | ur           | icp-a               | 272.2       | 06/26/2013 Current  | 12/15/2015  |
| 06/26/2013 12:32 EST Henaghan, Kristi CRNP<br>diet/exercise/weight loss              | M            | ICD-9               | 272.2       | 06/26/2013 Current  | 06/26/2013  |
| Hypertension, Benign Essential   |              |                     |             |                     |             |
| 03/09/2016 13:52 EST Winston, James MD dyazide one daily                             | 111          | IÇD <del>(</del> 9) | 401.1       | .03/09/2016 Current | 12/15/2015  |
| 04/17/2014 15:17 EST Henaghan, Kristi CRNP   | Щ            | ICD-9               | 401.1       | 06/26/2013 Current  | 04/17/2014  |
| 06/26/2013 12:32 EST Henaghan, Kristi CRNP start medication.                         | 111          | ICD-9               | 401.1       | 06/26/2013 Current  | 06/26/2013  |
| Nevus, non-neoplastic  |              |                     |             |                     |             |
| 09/10/2009 09:46 EST Fernandez, Sonia MLR  | lit          | ICD-9               | 448.1       | 09/10/2009 Current  | 09/10/2009  |
| Dental caries, unspecified   |              |                     |             |                     |             |
| 07/16/2013 15:12 EST. Morris-Veasey, Carolyn Chief<br>Dentist                        | TO TO        | ICD-9               | 521.00      | 07/16/2013 Current  | 07/16/2013  |
| *Acute gingivitis  |              |                     |             |                     |             |
| 07/16/2013 15:12 EST Morris-Veasey, Carolyn Chief Dentist                            | Ĥ            | ICD-9               | 523.0       | 07/16/2013 Current  | 07/16/2013  |
| Chronic periodontitis, generalized   |              |                     |             |                     |             |
| 10/07/2015 17:42 EST McGahee, Tequilla N. DMD  | TII.         | ICD-9               | 523.42      | 09/28/2015 Current  | 09/28/2015  |
| Spondylolisthesis  |              |                     |             |                     |             |
| 06/26/2013 12:32 EST Henaghan, Kristi CRNP   | III          | ICD-9               | 756,12      | 05/09/2012 Current  | 06/26/2013  |
| 05/09/2012 11:25 EST Panagulton, Elizabeth G. MLP                                    | H            | ICD-8               | 756.12      | 05/09/2012 Current  | 05/09/2012  |
| LTBI Prophy Refused  |              |                     |             |                     |             |
| 12/20/2018 10:46 EST Stolarzyk, Lindy RN/IOP/IDC<br>Signed refusal for Tx 12/20/2018 | 111          | ICD-10              | 795.5D      | 09/10/1999 Current  | 04/18/2016  |
| 04/18/2016 14:14 EST Rutherford, Amanda RN/HSS                                       | Üt           | : * *               | 795.5D      | 09/10/1999 Current  | 04/18/2016  |
| 03/03/2010 13:39 EST Nguyen, Thao D. MLP<br>Obesity                                  | 111          | ICD-9               | 795.5D      | 09/10/1999 Resolved | 03/03/2010  |

<sup>→</sup> Generated 02/01/2021 15:05 by Cozort, Melanie HIT

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|------------------------|------------------------------|
|------------------------|------------------------------|

| Reg #: 19769-050                                | Inmate Name, GONZALEZ, VICTOR                                 |                |  |                     |                                |         |              |
|---|---|----------------|--|---------------------|--------------------------------|---------|--------------|
| Description<br>03/01/2018 09:34 EST<br>BMI 35.9 | Smith, Nancy FNP  | Axis           | Code Type<br>ICD-10  | <u>Code</u><br>E669 | Diag. Date St<br>03/01/2018 Co |         | Status Date  |
|   | Gabriel, Asher MD/CD  |                | 4CD-10   | E669                | 02/13/2017 CI                  | ürrent  |              |
| Other specified sympton                         | ns and signs involving the circulatory and respiratory system | ńs             |  |                     |                                |         |              |
| 09/18/2018 08:12 EST                            | Herrell, P K.NP   |                | ICD-10.  | R0989               | 09/18/2018 Ci                  | urrent  |              |
| Coronavirus COVID-19                            | est negative:   |                |  |                     |                                |         |              |
| 01/06/2021 14:17 EST<br>01/04/21                | Herrell, PKNP   |                | ICD-10   | Z03818-             | 09/05/2020° C                  | urrent  |              |
| 09/14/2020 11:18 EST                            | Rutherford; Amanda RN/HSS                                     |                | ICD-10   | Z03818-             | 09/05/2020 C                   |         |              |
| 09/09/2020 09:23 EST<br>09/02/20                | Herrell, P.K.NP   |                | ICD-10   | Z03818-             | 09/09/2020 C                   | urrent  |              |
|   | with other medical treatment and regimen                      |                | and the state of t |                     |                                |         |              |
| 11/03/2020 08:43 EST                            | Herrell, PK NP  |                | ICD-10°  | Z9119               | 11/03/2020 C                   | urrent  |              |
|   | Reso  | lved           |  |                     |                                |         |              |
| Dermatophytosis of hand                         | d (Tinea Mänuum):   |                |  |                     |                                |         |              |
| 04/06/2016 07:29 EST                            | Hammonds, Christina FNP                                       | 111            | ICD-9  | 110.2               | 12/15/2015 R                   | * ****  | 04/06/2016   |
| 12/15/2015 13:41 EST                            | Crites, Kristi CRNP   | <b>!!!</b>     | ICD-9  | 110.2               | 12/15/2015 C                   | rùment  | 12/15/2015   |
| Overweight                                      |   |                |  |                     |                                |         |              |
| 01/13/2020 11:18 EST                            |   | H              |  | 278.02              | 08/04/2012 R                   |         | 01/13/2020   |
|   | Panagulton, Elizabeth G. MLP                                  | 111            | ICD-9  | 278.02              | 08/04/2012 C                   | ument   | 08/04/2012   |
| Other chronic pain                              | and when  |                |  |                     | & -3                           |         |              |
| 02/23/2016 07:20 EST                            | · ·   |                | ICD-9  | 338.29              | 06/04/2009 R                   |         | 12/13/2010   |
|   | Nguyen, Thao D. MLP   | .111           |  | 338,29              | 06/04/2009 R                   | ,       | 12/13/2010   |
| atr.  | Fernandez, Sonia MLP  | III            | ICD-9  | 338,29              | 06/04/2009 C                   | urrent  | 06/04/2009   |
| Acute upper respiratory                         |   |                | ·  | ''                  |                                |         | maira da ara |
|   | Gabriel, Asher MD/CD  |                | ICD-9  | 465.9               | 03/20/2015 R                   | 4       | 03/24/2016   |
| 03/20/2015 15:23 EST                            | McGannյ S. MD   | 111            | ICD-8  | 465.9               | 03/20/2015 C                   | urrent. | 03/20/2015   |
| Chronic pharyngitis                             |   |                |  |                     |                                |         |              |
| 03/01/2018 09:34 EST<br>inflammed Uvula         | 1   |                | ICD-9  | 472.1               | 01/29/2013 R                   |         | 03/01/2018   |
| 01/29/2013-10:15 EST<br>inflammed Uyula         | Ranaguiton, Elizabeth G. MLP                                  | 111            | ICD-9  | 472,1               | 01/29/2013 C                   | urrent  | .01/29/2013  |
| Carbuncle and furuncle                          | of face   |                |  |                     |                                |         |              |
| Generated 02:01/2021 15:05 b                    | y Cozort, Molanie HIT Bureau o                                | of Prisons - L | ĒE   |                     |                                |         | Page 2 of 5  |

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| Description 02/23/2016 07:20 EST SYSTEM  | AXIS                |              |             |                       | Ctature Date   |
|--|---------------------|--------------|-------------|-----------------------|----------------|
| 102/23/2016 07/20 EST SYSTEM   |                     | Code Type    | <u>Code</u> | Diag. Date Status     | Status Date    |
|  | 111                 | ICD-9        | 680.0       | 09/29/2014 Resolved   | 01/27/2015     |
| warm compresses, daily f/u with nurse, bactrlm<br>01/27/2015 13:23 EST Crites, Kristi CRNP   | 111                 | ICD-9        | 680.0       | 09/29/2014 Resolved   | 01/27/2015     |
| warm compresses, daily f/u with nurse, bactrim   | 111                 | 100-9        | 0.000       | OSIZSIZO 14 TYOSOIYEG | . UNE 1120 10  |
| 01/15/2015 08:25 EST Crites, Kristi CRNP   | III                 | ICD-9        | 680.0       | 09/29/2014 Current    | 01/15/2015     |
| warm compresses, daily f/u with nurse, bactrim   | •••                 | 102 5        | 20,0.0      |                       |                |
| 10/09/2014 10:43 EST Crites, Kristi CRNP   | 111                 | (CD-9.       | 680.0       | 09/29/2014 Resolved   | 10/09/2014     |
| 09/29/2014 08:40 EST Crites, Kristi CRNP   | III                 | ICD-9;       | 680.0       | 09/29/2014 Current    | 09/29/2014     |
| Carbuncle and furuncle of buttock  |                     | ,            |             |                       |                |
| 02/23/2016 07:20 EST SYSTEM  | m                   | ICD-9        | 680.5       | 12/03/2014 Resolved   | 12/08/2014     |
| 12/08/2014 11:21 EST Crites, Kristi CRNP   | 111                 | ICD-9        | 680.5       | 12/03/2014 Resolved   | 12/08/2014     |
| 12/03/2014 08:13 EST Crites, Kristi CRNP   | 111                 |              | 680.5       | 12/03/2014. Current   | 12/03/2014     |
| Carbuncle and furuncle of leg, except foot   | •••                 | real to      |             |                       |                |
| 02/23/2016 07:20.EST SYSTEM  | [1]                 | ICD-9        | 680.6       | 12/17/2015 Resolved   | 01/04/2016     |
| .01/04/2016/14:38 EST Crites, Kristi CRNP  | 111                 | ICD-9        | 680.6       | 12/17/2015 Resolved   | 01/04/2016     |
| 12/17/2015 11:37 EST Crites, Kristi CRNP   |                     | ICD-9        | 680.6       | 12/17/2015 Current    | 12/17/2015     |
| Carbuncle and furuncle of other specified sites  |                     | 1000         | 0,00.0      |                       | 72, 11, 125 13 |
| 02/23/2016:07:20 EST_SYSTEM  | 111                 | ICD-9        | 680.8       | 05/28/2015 Resolved   | 07/21/2015     |
|  | #1                  | ICD-9        | 680.8       | 05/28/2015 Resolved   | 07/21/2015     |
| 07/21/2015 09:11 EST Crites, Kristi CRNP   | 1R                  | ICD-9        | 680,8       | 05/28/2015 Current    | 05/28/2015     |
| 05/28/2015 11:20 EST Crites, Kristi CRNP   | 115                 | ICD-9        | O,OO,O      | Oğrzorzo (D. Carrein  | 03/20/2010     |
| Carbuncle and furuncle of unspecified site   | •••                 | linn.n       | 202 5       | Anionionae Described  | 400000040      |
| 02/23/2016 07:20 EST SYSTEM  | <b>I</b> II         | ICD-9        | 680.9       | 12/06/2010 Resolved   | 12/25/2010     |
| 12/25/2010 11:22 EST Nguyen, Thao D. MLP   | <b>!</b>            | ICD-9        | 680.9       | 12/06/2010 Resolved   | 12/25/2010     |
| 12/06/2010 14:17 EST Nguyen, Thao D. MLP   | 18                  | ICD-9        | 680.9       | 12/06/2010 Current    | 12/06/2010     |
| Cellulitis and abscess of finger, unspecified  |                     |              |             |                       | ,              |
| 02/23/2016 07:20 EST SYSTEM  |                     | ICD-9        | 681.00      | 06/23/2014 Resolved   | 10/10/2014     |
| used lancet to puncture skin to remove any bacteria housed within. Cle   |                     |              |             |                       |                |
| given bandaids to cover. Inmate aware of s/s of infection. F/u with nurs   | se given.           | ICD-9        | 681.00      | 06/23/2014 Resolved   | 10/10/2014     |
| used lancel to puncture skin to remove any bacteria housed within. Cle   | -                   | iòp-o        | - Žò 1'Ão   | ONITION IN MICORIAGE  | 10/10/20 /,    |
| given bandaids to cover. Inmate aware of s/s of infection. F/u with nurs   |                     |              |             |                       |                |
| 06/23/2014 10:46 EST Crites, Kristi CRNP   |                     | ICD-9        | 681.00      | 06/23/2014 Current    | 06/23/2014     |
| used langet to puncture skin to remove any bacteria housed within. Cle<br>given bandaids to cover. Inmate aware of s/s of infection. F/u with nurs |                     |              |             |                       |                |
| Cellulitis and abscess of leg, except foot   |                     |              |             |                       |                |
| 02/23/2016 07:20 EST SYSTEM  | 181                 | ICD-9        | 682.6       | 03/27/2015 Resolved   | 04/13/2015     |
| 04/13/2015 11:34 EST Crites, Kristi CRNP   | 111                 | (CD-9        | 682.6       | 03/27/2015 Resolved   | 04/13/2015     |
| Grinorated 02/01/2021 15:05 by Cozort, Melanio HIT Bure  | aau of Prisons - Li | E <b>E</b> . |             |                       | Page 3 of 5    |

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|------|-------------------|--------------|----------------|----------------------------|
|      |                   |              |                |                            |

| Reg #: 19769-050 Inmate Name: GON  | ZALEZ, VICTOR          |                |                |  |              |
|--|------------------------|----------------|----------------|--|--------------|
| Description  | Axis                   | Code Type      | <u>Code</u>    | Diag. Date Status                                      | Status Date  |
| 03/27/2015 09:58 EST McGann, S. MD   | III                    | (CD-9€         | 682.6          | 03/27/2015 Current                                     | 03/27/2015   |
| Cellulitis and abscess of other specified sites  |                        |                |                | _  |              |
| 02/23/2016 07:20 EST SYSTEM  | II)                    | ICD-9          | 682.8          | 01/28/2011 Resolved                                    | 09/05/2014   |
| inght forearm  | ut                     | ICD-9          | 682.8          | 01/28/2011 Resolved                                    | 09/05/2014   |
| 09/05/2014 10:39 EST Crites, Kristl CRNP right forearm                                     | 121                    | ICD-9          | 002,04         | dijizoizo i i riesoived                                | 03/03/2014   |
| 08/22/2014 13:21 EST Crites, Kristi CRNP   | III                    | ICD-9          | 682.8          | 01/28/2011 Current                                     | 08/22/20:14  |
| right forearm  |                        | 100.0          | 2222           | arion month of the                                     | 6490000044   |
| 01/28/2011 15:32 EST Goode, William PA-C<br>Left axilla                                    | 111                    | ICD-9          | 68,2:8         | .01/28/2011 Current                                    | 01/28/2011   |
| Other atopic dermatitis and related conditions   |                        |                |                |  |              |
| 04/06/2016 07:29 EST Hammonds, Christina FNP   | 111                    | 1CD-9.         | 691.8          | 05/09/2011 Resolved                                    | 04/06/2016   |
| 05/09/2011 12:09 EST Goode, William PA-C   |                        | ICD-9          | 691.8          | 05/09/2011 Current                                     | 05/09/2011   |
| Dermatitis/eczema due to unspecified cause   |                        |                |                |  |              |
| 04/06/2016 07:29 EST Hammonds, Christina FNP   | 111                    | ICD-9          | 692.9          | 12/06/2010. Resolved                                   | 04/06/2016   |
| 12/06/2010 14:17 EST Nguyen, Thao D. MLP   | III                    | ICD-9          | 692,9          | 12/06/2010 Current                                     | 12/06/2010   |
| Backache, unspecified  |                        |                |                | att over the control                                   |              |
| 04/06/2016 07:29 EST Hammonds, Christina FNP   | III                    | ICD-9          | 724.5          | 01/11/2011 Resolved                                    | 04/06/2016   |
| 01/11/2011 09:50 EST Nguyen, Thao D. MLP   | ill                    | ICD-9          | 724.5          | 01/11/2011 Current                                     | 01/11/2011   |
| Nerve pain, neuralgia neuritis, radiculitis  | ·tu                    | 100:0          | 720.3          | 08/26/2012 Resolved                                    | 03/01/2018   |
| 03/01/2018 09:34 EST Smith, Nancy FNP<br>06/26/2012 06:49 EST Panaguiton, Elizabeth G. MLP | `111<br>141            | ICD:9<br>ICD:9 | 729.2<br>729.2 | 06/26/2012 Current                                     | 05/01/2018   |
| Diarrhea   | ""                     | (OD:           | /20.E          | CW2012012 Callotte                                     | åoizoito 15  |
| 02/23/2016 07:20 EST SYSTEM  | 141                    | ICD:9          | 787.91         | 11/25/2008 Resolved                                    | 12/13/2010   |
| 12/13/2010 15:28 EST Nguyen, Thao D. MLP   | III                    |                | 787.91         | 11/25/2008 Resolved                                    | 12/13/2010   |
| 11/25/2008 12:34 EST Fernandez, Sonia MLP  | ili                    | ICD-9          | 787.91         | 41/25/2008 Current                                     | 11/25/2008   |
| Acute bronchitis, unspecified  |                        |                |                |  |              |
| 09/08/2016 09:41 EST Smith, Nancy FNP  |                        | ICD-10         | 7509           | 07/08/2016 Resolved                                    | 09/08/2016   |
| 07/08/2016 10:37 EST Hammonds, Christina FNP   |                        | (CD-10)        | J209           | 07/08/2016 Current                                     |              |
| Allergic rhinitis  |                        | <i>I</i> _<    |                | 3 <b>4 4 5 4 6 6 7 6</b> 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 | `aaw'a'aa'   |
| 09/08/2016 09:41 EST Smith, Nancy FNP  |                        | ICD-10         | 130 <u>0</u>   | 06/24/2016 Resolved                                    | 09/08/2016   |
| 06/24/2016 11:45 EST Hammonds, Christina FNP   |                        | ICD-10         | J309           | 06/24/2016 Current                                     |              |
| Injury muscle/fascia/tendon at forarm level  |                        | ICD-10         | S56909         | 11/29/2016 Resolved                                    | 03/01/2018   |
| 03/01/2018 09:34 EST   Smith, Nancy-ENP-<br>right  |                        | 160-10         | 300808         | 1 1/20/2010 Resolved                                   | 03/0 (/201.0 |
| Generated 02/01/2021 15:05 by Cozort, Melanie HtT  | Bureau of Prisons - Li | ΞE             |                |  | Page 4 of 5  |

<sup>.</sup> Generated 02/01/2021 15:05 by Cozort; Melanie HIT

| Reg #; 19769-050                | Inmate Name:                 | GONZALEZ, VICTOR, |            |           |                 |                     |             |
|---------------------------------|------------------------------|-------------------|------------|-----------|-----------------|---------------------|-------------|
| Description                     |                              | Axi               | <b>5</b> . | Code Type | Code            | Diag. Date Status   | Status Date |
| 11/29/2016 16:17 EST<br>right   | Smith, Nancy FNP             |                   |            | ICD-10    | \$56909         | 11/29/2016 Current  |             |
| Radiological examination        | ı, not elsewhere classified  |                   |            |           |                 |                     |             |
| 02/23/2016 07:20 EST            | SYSTEM                       | ı                 | III        | ICD-9     | V72:5           | 01/04/2013 Resolved | 01/04/2013  |
| 01/04/2013 12:36 EST            | Panaguiton, Elizabeth G. MLP | 1                 | III .      | ICD-9     | V72,5           | 01/04/2013 Current  | 01/04/2013  |
| Radiological examination        | n, not elsewhere classified  |                   | ·          | •         |                 |                     |             |
| 02/23/2016 07:20 EST            | SYSTEM                       | 1                 | II         | ICD-9     | V72.5           | 05/09/2012 Resolved | 05/09/2012  |
| 05/09/2012 11:25 EST            | Panaguiton, Elizabeth G. MLP | 1                 | Hi         | 1CD-9     | V72.5           | 05/09/2012 Current  | 05/09/2012  |
| Quarantine - asymptoma          | itic person in quarantine    |                   |            |           | , ,             |                     |             |
| .09/14/2020 11:18 EST<br>Intake | Rutherford, Amanda RN/HSS    |                   |            | ICD-10    | Z0489-q         | 08/20/2020 Resolved | 09/14/2020  |
| 08/20/2020 14:36 EST<br>Intake  | Smith, Nancy FNP             |                   | •          | ICD-10    | Z0489-q         | 08/20/2020 Current  |             |
| 07/27/2020 17:05 EST            | Bice, K. RN, IOP/IDC         |                   |            | ICD-10    | <b>Z048</b> 9-q | 07/27/2020 Current  |             |

Total: 36

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### **Bureau of Prisons Health Services**

### **COVID-19 RNA**

Begin Date: 02/01/2020

19769-050 Reg #:

End Date:

02/01/2021

Inmate Name: GONZALEZ, VICTOR

(Reference Range - Negative)

**Effective Date** 

**COVID-19 RNA** 

Asymptomatic

**Provider** 

Pease, Karen RN

Orig Entered: 12/21/2020 16:38 EST Pease, Karen RN

12/21/2020 16:37 LEE

08/20/2020 12:11 LEE

Negative\*

Negative-

Asymptomatic

Parker, B. RN

Orig Entered: 08/20/2020 12:12 EST Parker, B. RN

Total: 2

Page 1 of 1

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 48 of 91 PageID: 3372 **Bureau of Prisons** 

# Health Services

### **Medical Duty Status**

| Reg#:    | 19769-050 Inmate Name: GONZALEZ, VICTOR  |  |
|----------|--|--|
| Housin   | g Status   | and the second of the second s |
|          | fined to the living quarters exceptmealspill linetreatments  | Exp. Date:   |
| ou o     | complete bed rest:bathroom privileges only   | Exp. Date:   |
| cell:    | cell on first floorsingle celllower bunk _airbome infection isolation  | Exp. Date:   |
| othe     | er:  | Exp. Date:   |
| Physica  | al Limitation/Restriction  |  |
| all :    | sports.  | Exp. Date:   |
| — wei    | ghtlifting:upper bodylower body  | Exp. Date:   |
| card     | liovascular exercise:runningioggingwalkingsoftball   | Exp. Date:   |
|          | footballbasketballhandballstationary equipment   |  |
| othe     | er:  | Exp. Date:   |
| May ha   | ve the following equipment in his / her possession:  | e de la company  |
| Cleared  | estriction / Limitation:  I for Food Service: Yes Restrictions   |  |
| Comme    | nts: -cellulitis left of umbilicus, inmate has permission to have large band-aids and wound. Follow up one week from 7-2-19. C EungardRN | d 2x2 gauze for self care of   |
|          |  | 04/15/2020   |
| •        | Services Staff   | Date .   |
| inmate i | Name: GONZALEZ, VICTOR Reg #: 19769-050 Quarter  | s: <u>B02</u>  |

ALL EXPIRATION DATES ARE AT 24:00

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### Bureau of Prisons Health Services Medication Summary

Historical

Complex: LEE-LEE USP

GONZALEZ, VICTOR

Begin Date: 02/01/2020

End Date: 02/01/2021

Reá#:

19769-050

Quarters B02-229U

Medications listed reflect prescribed medications from the begin date to end date on this report.

Inmate:

Denied

**Active Prescriptions** 

amLODIPine 5 MG TAB

Take one tablet (5 MG) by mouth each day

Rx#: 10614-TOM

Doctor: Ahmed, F. MD/CD

Start: 01/13/20

Exp: 01/12/21

D/C: 03/03/20

Pharmacy Dispensings: 30 TAB in 386 days

amLODIPine 10 MG TAB

Take one tablet (10 MG) by mouth each day,

Rx#: 107110-CP1

Doctor: York, Timothy DO

Start: 08/24/20

Exp: 09/23/20.

D/C: 08/26/20

Pharmacy Dispensings: 30 TAB in 162 days

amLODIPine 10 MG TAB

Take one tablet (10 MG) by mouth each day

Rx#: 108213-CP1

Doctor: York, Timothy DO

Start; 08/26/20

Exp: 08/26/21

D/C: 09/09/20

Pharmacy Dispensings: 90 TAB in 160 days

amLODIPine 10 MG TAB

Take one tablet (10 MG) by mouth each day

Rx#: 111872-CP1

Doctor: York, Timothy DO

Start: 09/09/20.

Exp: 09/09/21

Pharmacy Dispensings: 60 TAB in 146 days

amLODIPine 10 MG TAB-

Take one tablet (10 MG) by mouth each day

Rx#: 11775-TOM

Doctor: Ross T. NP

Start: 03/03/20

Exp: 08/30/20

D/C: 08/20/20

Pharmacy Dispensings: 60 TAB in 336 days

Aspirin 81 MG EC Tab

Take one tablet (81 MG) by mouth each day for heart protection

Rx#: 7281-TOM

Doctor: Boyd, Rock MD/CD

Start: 08/22/19

Exp: 02/18/20

Pharmacy Dispensings: 135 TAB in 530 days

Atorvastatin 40 MG TAB

Take one tablet (40 MG) by mouth each evening for control of cholesterol

Rx#: 10615-TOM

Doctor: Ahmed, F. MD/CD

Start: 01/13/20

Exp: 01/12/21

D/C: 08/20/20

Pharmacy Disponsings: 90 tab in 386 days.

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 Complex:
 LEE\_LEE USP
 Begin Date:
 02/01/2020
 End Date:
 02/01/2021

 Inmate:
 GONZALEZ, VICTOR
 Reg #:
 19769-050
 Quarter:
 B02-229U

#### **Active Prescriptions**

Atorvastatin 40 MG TAB

Take one tablet (40 MG) by mouth each evening for control of cholesterol.

Rx#: 107111-CP1

Doctor: York, Timothy DO

Start: 08/24/20

Exp: 09/23/20 D/C: 08/26/20

Pharmacy Dispensings: 30 tab in 162 days

Atorvastatin 20 MG TAB

Take one tablet (20 MG) by mouth each day

Rx#: 108214-CP1

Doctor: York: Timothy DO

Start: 08/26/20

Exp: 08/26/21 D/C: 09/09/20.

Pharmacy Dispensings: 30 tab in 160 days

Atorvastatin 40 MG TAB.

Take one tablet (40 MG) by mouth each evening

Rx#: 156887-CP1 Start: 01/07/21 Doctor: Herrell, P K NP Exp: 07/06/21

Pharmacy Dispensings: 30 tab in 26 days

Gemfibrozil 600 MG TAB

Take one tablet (600 MG) by mouth twice daily

Rx#: 111874-CP1

Doctor: York, Timothy DO

Start: 09/09/20

Exp: 09/09/21 D/C: 01/07/21

Pharmacy Dispensings: 180 TAB in 146 days

Triamterene/ HCTZ 37.5 MG/25 MG Tab

\*\*\*\*\*NOTE DOSE and STRENGTH\*\*\* Take one-half (1/2) tablet by mouth each day to control blood pressure

Rx#: 10616-TOM

Doctor: Ahmed, F. MD/CD

Start.: 01/13/20

Exp: 01/12/21 D/C: 08/20/20

Pharmacy Dispensings: 45 TAB in 386 days

Triamterene/ HCTZ 37.5 MG/25 MG Tab

\*\* \*\*\*NOTE DOSE and STRENGTH\*\*\* Take one-half (1/2) tablet by mouth each day to control blood pressure

Rx#: 107112-CP1

Doctor: York, Timothy DO

Start: 08/24/20

Exp: 09/23/20

D/C: 08/26/20

Pharmacy Dispensings: 15 TAB in 162 days

Triamterene/ HCTZ 37.5 MG/25 MG Tab

\*\* \*\*\*NOTE DOSE and STRENGTH\*\*\* Take one-half (1/2) tablet by mouth each day to control blood pressure

Rx#: 108215-CP1

Doctor: York, Timothy DO

Start: 08/26/20

Exp: 08/26/21

D/C: 09/09/20

Pharmacy Dispensings: 45 TAB in 160 days

Triamterenc/ HCTZ 37.5 MG/25 MG Tab

\*\* \*\*\*NOTE DOSE and STRENGTH\*\*\* Take one-half (1/2) tablet by mouth each day to control blood pressure

Rx#: 111875-CP1

Doctor: York, Timothy DO

Start: 09/09/20

Exp: 09/09/21

Pharmacy Dispensings: 30 TAB in 146 days

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 51 of 91 PageID: 3375

 Complex:
 LEE-LEE USP
 Begin Date:
 02/01/2020
 End Date:
 02/01/2021

 Inmate:
 GONZALEZ, VICTOR
 Reg #:
 19769-050
 Quarter:
 B02-229U

### **Bureau of Prisons Health Services Clinical Encounter**

Inmate Name:

GONZALEZ, VICTOR

Date of Birth:

10/25/1972

Encounter Date: 01/07/2021 07:45

Séx:

М Provider: Herrell, P.K.NP

Race: WHITE

19769-050 Reg #:

Facility: 1 EE Unit B02

Mid Level Provider - Follow up Visit encounter performed at Housing Unit.

SUBJECTIVE:

COMPLAINT 1

Provider: Herrell, P K NP

Chief Complaint: GENERAL

Subjective:

follow up to review/discuss lipid panel. Inmate is prescribed Lopid 600 mg BID. Cholesterol 236-was 220--11/2020, TG 284-was 478, LDL 139, states has been taking his Lopid. Discussed today that would start Atorvastatin 40 mg and would stop lopid and continue Atorvastatin-verbalized understanding, handouts given: Healthy Eating, Tips on lowering cholesterol, Tips on lowering TG, and Preventing high cholesterol, will continue to monitor

labs, no issues/concerns voiced by inmate today.

Pain:

No:

#### OBJECTIVE:

Exam:

General

Appearance

Yes: Appears Well, Alert and Oriented x 3

Skin

General

Yes: Dry, Skin intact

Eyes

Pupils

Yes: Brisk Light Reaction

**Pulmonary** 

Thorax

Yes: Normal Thoracic Expansion, Normal Diaphragmatic Excursion

Peripheral Vascular

General

No: Non-Pitting Edema, Pitting Edema,

Abdomen

Inspection

No: Distension

ASSESSMENT:

Hyperlipidemia, mixed, 272.2 - Current

PLAN:

**New Medication Orders:** 

Rx#

Medication

Atorvastatin Tablet

Prescriber Order:

40 mg Orally each evening x 180 day(s)

Indication: Hyperlipidemia, mixed

Generated 01/07/2021 12:19 by Herrell, P K NP

Bureau of Prisons - LEE

Order Date 01/07/2021 07:45.

Page 1 of 2

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 53 of 91 PageID: 3377

Inmate Name: GONZALEZ, VICTOR

10/25/1972 Date of Birth: Encounter Date: 01/07/2021 07:45 Sex: Race: WHITE Provider: Herrell, P K NP

Reg #: 19769-050

Facility: LEE Unit: B02

**Discontinued Medication Orders:** 

Medication Rx#

**Order Date** 

Gemfibrozil 600 MG TAB 111874-CP1

01/07/2021 07:45

Prescriber Order:

Take one tablet (600 MG) by mouth twice daily

Discontinue Type:

When Pharmacy Processes

Discontinue Reason: new order written

Indication:

New Laboratory Requests:

Details

Frequency One Time

**Due Date** 

04/01/2021 00:00

Priority . Routine

Lab Tests - Short List-General-Lipid Profile

Lab Tests - Short List-General-Hemoglobin A1C Labs requested to be reviewed by:

York, Timothy DO

Schedule:

**Activity** 

Chart Review.

Scheduled Provider: Date Scheduled

04/07/2021 00:00 MLP 03

look at lipid panel-see if taking lipitor

Disposition:

Follow-up at Sick Call as Needed

**Patient Education Topics:** 

Handout/Topic Date Initiated Format Access to Care Counseling 01/07/2021

Provider Herrell, P

Verbalizes: Understanding Verbalizes:

Outcome<sup>®</sup>

01/07/2021 Counseling. 01/07/2021 Counseling: Compliance - Treatment

Herrell, P. Herrell, P

Understanding Verbalizes Understanding-

Counseling. 01/07/2021

Exercise:

Diet

Herrell, P

Verbalizes. Understanding

01/07/2021

Counseling:

Weight Loss

Herrell, P

Verbalizes Understanding

01/07/2021

Counseling

Plan of Care

Herrell, P.

Verbalizes Understanding

Copay Required: No

Cosign Required: No

Telephone/Verbal Order: No

Completed by Herrell, P.K.NP on 01/07/2021 12:19-Requested to be reviewed by York, Timothy DO.

Review documentation will be displayed on the following page:

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 54 of 91 PageID: 3378

### **Bureau of Prisons Health Services** Cosign/Review

Inmate Name:

GONZALEZ, VICTOR

10/25/1972 Date of Birth:

Encounter Date: 01/07/2021 07:45

Sex:

Provider.

M

Herrell, PKNP

Reg#:

19769-050

Race: Facility:

WHITE LEE.

Reviewed by York, Timothy DO on 01/07/2021 12:33.



FMC Butner 1000 Old Highway NC 75 Butner, NC 27509 919-575-3900 x5707

\*\*\* Sensitive But Unclassified \*\*\*

 Name
 GONZALEZ, VICTOR
 Facility
 USP Lee
 Collected 01/04/2021 11:19

 Reg # 19769-050
 Order Unit B02-229U
 Received 01/05/2021 12:03

 DOB
 10/25/1972
 Provider P Herrell, NP
 Reported 01/05/2021 13:30

 Sex
 M
 LIS ID 324203317

|                        |     | CHEMISTRY    |         |       |
|------------------------|-----|--------------|---------|-------|
| Cholesterol            | Н   | 236          | <200    | mg/dL |
| Triglycerides          | H   | 284          | <150    | mg/dL |
| HDL Cholesterol        |     | 40           | 40-60   | mg/dĹ |
| LDL Cholesterol (calc) | н   | 139          | <130    | mg/dL |
| Chol/HDL Ratio         | н - | <b>5</b> .9° | 0.0-4.0 | -     |

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### **Bureau of Prisons Health Services** Cosign/Review

Inmate Name:

GONZALEZ, VICTOR

Date of Birth:

10/25/1972 Encounter Date: 01/05/2021 13:32 Sex:

Provider:

M

Lab Result Receive

Reg#:

19769-050

Race: WHITE Facility:

LEE

Reviewed by Herrell, P K NP on 01/05/2021 14:38.

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 57 of 91 PageID: 3381

### Bureau of Prisons Health Services Cosign/Review

Inmate Name:

GONZALEZ, VICTOR

Date of Birth: 10/25/1972 Encounter Date: 01/05/2021 13:32 Sex:

Provider:

Ä

Lab Result Receive

Reg#:

19769-050

Race: WHITE Facility: LEE

Cosigned by York, Timothy DO on 01/07/2021 08:27.



Page 12 of 51

01/06/2021 08:12:04 AM

Report Status: Final GONZALEZ, VICTOR

| Patient Information   | Specimen Information  | Client Information   |
|---|---|--|
| GONZALEZ, VICTOR  | Specimen: AL584899Y<br>Requisition: 0000216   | Client #: 10800545 QATL000<br>YORK, TIMOTHY J                |
| DOB: 10/25/1972 AGE: 48<br>Gender: M<br>Phone: 276.546.0150<br>Patient ID: 19769-050<br>Health ID: 8573025253944877 | Collected: 01/04/2021<br>Received: 01/05/2021 / 05:36 EST<br>Reported: 01/05/2021 / 19:34 EST | USP LEE<br>HICKORY FLATS RD<br>PENNINGTON GAP, VA 24277-7751 |

#### SARS CoV.2 (COVID-19) Tests

| Test Name                         | Result       | -Reference Renge |            | (Marie Marie M<br>Marie Marie Ma<br>Marie Marie | Lap ; |
|-----------------------------------|--------------|------------------|------------|---|-------|
| SARS CoV 2 RNA(COVID 19); QUALITA | TIVE NAAT    |                  | 7 5 7 . V- | A STATE OF THE STA      | AT    |
| SARS CoV 2 RNA                    | NOT DETECTED | NOT DETECTED     |            |   |       |

A Not Detected (negative) test result for this test means that SARS-CoV-2 RNA was not present in the specimen above the limit of detection. A negative result does not rule out the possibility of COVID-19 and should not be used as the sole basis for treatment or patient management decisions. If COVID-19 is still suspected, based on exposure history together with other clinical findings, re-testing should be considered in consultation with public health authorities. Laboratory test results should always be considered in the context of clinical observations and epidemiological data in making a final diagnosis and patient management decisions.

Please review the "Fact Sheets" and FDA authorized labeling available for health care providers and patients using the following websites: https://www.questdiagnostics.com/home/Covid-19/Petients/NAAT/fact-sheet2 https://www.questdiagnostics.com/home/Covid-19/Petients/NAAT/fact-sheet2

This test has been authorized by the FDA under an Emergency Use Authorization (EUA) for use by authorized laboratories.

Due to the current public health emergency, Quest Diagnostics is receiving a high volume of samples from a wide variety of swabs and media for COVID-19 testing. In order to serve patients during this public health crisis, samples from appropriate clinical sources are being tested. Negative test results derived from specimens received in non-commercially manufactured viral collection and transport media, or in media and sample collection kits not yet authorized by FDA for COVID-19 testing should be cautiously evaluated and the patient potentially subjected to extra precautions such as additional clinical monitoring, including collection of an additional specimen.

Methodology, Nucleic Acid Amplification Tost (NAAT) includes RT-PCR or TMA

Additional information about COVID-19 can be found at the Quest Diagnostics website: www.QuestDiagnostics.com/Covid19;

Physician Comments:

#### PERFORMING SITE:

AT QUEST DIADDOMICNATIANTA, 1777 ACOTREAL CIRCLE, TEXNER, GA 3084688G Laboridy Discret Andrew n Young Andring, CLA. Hiddes-91

PAGE I OF I

### **Bureau of Prisons Health Services Clinical Encounter - Administrative Note**

Inmate Name: Date of Birth:

Note Date:

GONZALEZ, VICTOR

10/25/1972

12/22/2020 14:39

Sex: Provider:

Race: WHITE Bray, Charles D FNP-BC

Reg #: Facility:

Unit:

19769-050

LEE B02.

Admin Note - Orders encounter performed at Health Services:

Administrative Notes:

ADMINISTRATIVE NOTE 1

Provider: Bray, Charles D FNP-BC

Admin note for orders

Inmate needs orders for COVID-19 screening labs

Will order screening labs

**New Laboratory Requests:** 

Details

Lab Tests-C-COVID-19 Novel Coronavirus

Frequency One Time

**Due Date** 01/04/2021 00:00

**Priority** Routine

Labs requested to be reviewed by:

York, Timothy DO

**New Non-Medication Orders:** 

Order

Temperature:

Frequency One Time

Duration

**Details** 

Ordered By

:s/s check temperature

Bray, Charles D FNP-

01/04/2021

BC.

Order Date:

12/22/2020.

Copay Required: No

Cosign Required: No

Telephone/Verbal Order: No

Completed by Bray, Charles D FNP-BC on 12/22/2020 14:40:

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 60 of 91 PageID: 3384

### **Bureau of Prisons Health Services** Clinical Encounter

Inmate Name:

GONZALEZ, VICTOR

Date of Birth: 10/25/1972

Encounter Date: 11/19/2020 07:35

Race: WHITE Sex: Provider: Herrell, PKNP

Reg #: 19769-050

Facility: LEE Ùnit B02

Mid Level Provider - Follow up Visit encounter performed at Housing Unit.

SUBJECTIVE:

COMPLAINT 1

Provider: Herrell, PKNP

Chief Complaint: GENERAL

Subjective:

review of labs dated 11/16/20. Cholesterol 220, TG 478, and HDL 41. inmate is prescribed Lopid, was seen 11/2/20 and discussed at that time his non-compliance in taking and filling his medication, have discussed low fat diet, exercise, and weight loss, states I will take my medication, will not start Libitor at this time-since inmate has been non-complaint with his louid-will repeat lipid in about 6 weeks and see if any change in values-since inmate states

will take his medication.

Pain:

Νo

**OBJECTIVE:** 

Exam:

General

Appearance

Yes: Appears Well, Alert and Oriented x 3

Skin

General

Yes: Dry, Skin Intact

Labs requested to be reviewed by:

ASSESSMENT:

Hyperlipidemia, mixed; 272.2 - Current-

Patient's noncompliance with other medical treatment and regimen, Z9119 - Current

PLAN:

**New Laboratory Requests:** 

**Details** 

Frequency One Time

**Due Date** 

**Priority** 

Lab Tests - Short List-General-Lipid Profile

York, Timothy DO

01/04/2021 00:00 Routine

Schedule:

**Activity** 

Date Scheduled Scheduled Provider

Chart Review

01/13/2021 00:00 MLP 03

see if lipid panel completed, see if filling lopid, and see if need to start lipitor

Disposition:

Follow-up at Sick Call as Needed

**Patient Education Topics:** 

11/19/2020

Date Initiated Format

Counseling

Handout/Topic

Provider

Herrell, P.

Outcome Verbalizes Understanding

Risk vs. benefit of treatment

Bureau of Prisons - LEE-

Page 1 of 2

### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 61 of 91 PageID: 3385

|                                     | ONZALEZ, VICTOR<br>/25/1972<br>1/19/2020 07:35 | Sex: M Race: WHITE<br>Provider: Herrell, P.K.NP | Reg #: 19769-050<br>Facility: LEE<br>Unit: 802 |  |
|-------------------------------------|--|---|--|--|
| <u>Date Initiated</u><br>11/19/2020 | Format<br>Counseling                           | <u>Handout/Topic</u><br>Plan of Care            | Provider<br>Herrell, P                         | Outcome<br>Verbalizes<br>Understanding |
| 11/19/2020                          | Counseling                                     | Access to Care                                  | Herrell, P                                     | Verbalizes<br>Understanding            |
| 11/19/2020                          | Counseling.                                    | Compliance - Treatment                          | Herrell, P                                     | Verbalizes<br>Understanding            |
| 11/19/2020                          | Counseling                                     | Diet  | Herrell, P                                     | Verbalizes<br>Understanding            |
| 11/19/2020                          | Counselling                                    | Exercise  | Herrell, P                                     | Verbalizes<br>Understanding            |
| 11/19/2020                          | Counseling                                     | Weight Loss.                                    | Herrell, P                                     | Verbalizes<br>Understanding            |

Copay Required: No

Cosign Required: No

Telephone/Verbal Order: No.

Completed by Herrell, P K NP on 11/19/2020 13:03

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 62 of 91 PageID: 3386

### Bureau of Prisons **Health Services Clinical Encounter**

Provider. Herrell, P.K.NP.

Inmate Name:

GONZALEZ, VICTOR

Date of Birth:

10/25/1972

Encounter Date: 11/02/2020 13:50

Sex:

Race: WHITE

19769-050 Reg #:

Facility: LEE Unit B<sub>0</sub>2

Mid Level Provider - Follow up Visit encounter performed at Housing Unit,

SUBJECTIVE:

COMPLAINT 1

Provider: Herrell, PKNP

Chief Complaint: GENERAL

Subjective:

follow up with inmate after starting Lopid, Cholesterol was 224, TG 264, HDL 41, and LDL 130, review of chart shows has not filled Lopid since 09/2020 or other medications. Discussed need for compliance of medications and risks involved if did not take medication, states I have started taking, will need repeat lipid panel, have discussed low fat diet, exercise, and weight

loss.

Pain:

No.

#### **OBJECTIVE:**

Exam:

General

**Appearance** 

Yes: Appears Well, Alert and Oriented x 3

Skin

General.

Yes: Dry, Skin Intact

#### ASSESSMENT:

Patient's noncompliance with other medical treatment and regimen, Z9119 - Current

PLÁN:

New Laboratory Requests:

**Details** Lab Tests - Short List-General-Lipid Profile Frequency One Time:

Due Date 11/09/2020 00:00 Priority Routine

Labs requested to be reviewed by:

York, Timothy DO

Disposition:

Follow-up at Sick Call as Needed

Patient Education Topics:

Date initiated Format Handout/Topic <u>Provider</u> <u>Outcome</u> Herrell, P. Verbalizes 11/03/2020 Counseling Access to Care Understanding Herreil, P Verbalizes 11/03/2020 Compliance - Treatment Counseling Understanding Verbalizes Herrell, P. 11/03/2020 Diet: Counseling Understanding Verbalizes Exercise Herreil, P 11/03/2020 Counseling Understanding Herrell, P Verbalizes 11/03/2020 Counseling Weight Loss

Generated 11/03/2020 08:45 by Herrell, P.K.NP

Bureau of Prisons - LEE:

Page,1 of 2,

Understanding

|      | Case 1                      | :96-cr-00114-RBK                                  | Document 691                 | Filed 07/16/21                  | Page 63 of 91                  | L PageID: 3             | 3387                                   |
|------|-----------------------------|---|------------------------------|---------------------------------|--------------------------------|-------------------------|--|
| Date | of Birth; 10                | GONZALEZ, VICTOR<br>0/25/1972<br>11/02/2020 13:50 | Sex:<br>Provider:            | M Race: WHIT<br>Herrell, P.K.NP | Reg #:<br>E Facility:<br>Unit: | 19769-050<br>LEE<br>B02 | · · · · · · · · · · · · · · · · · · ·  |
|      | Date Initiated<br>1/03/2020 | Format<br>Counselling                             | Handout/Top<br>Risk vs. bene | ic<br>efit of treatment         | <u>Provider</u><br>Herrell, F  | )                       | Quicome<br>Verbálizes<br>Understanding |
| .1   | 1/03/2020                   | Counseling  | Plan of Care                 |                                 | Herrell, P                     | •                       | Verbalizes.<br>Understanding           |

Copay Required: No

Cosign Required: No

Telephone/Verbal Order: No

Completed by Herrell, P K NP on 11/03/2020 08:45

# Bureau of Prisons Health Services Clinical Encounter - Administrative Note

Inmate Name: GONZALEZ, VICTOR 19769-050 Rea#: Date of Birth: 10/25/1972 Facility: LEE Race: WHITE Sex: Herrell, PKNP B02 Note Date: 09/10/2020 10:24 Provider: Unit:

Admin Note - Chart Review encounter performed at Health Services.

**Administrative Notes:** 

ADMINISTRATIVE NOTE: 1

Provider: Herrell; P K NP

inmate was recently seen on CCC visit and had stated had not been taking his medication, BP checks were ordered and are WNL's, inmate stated now taking medication. BP checks have been reviewed, will monitor in CCC, have discussed the risk if not taking medication. Stroke, MI, or death

**Blood Pressure:** 

<u>Date Time Value Location Position Cuff Size Provider</u>

09/10/2020 10:26 LEE 130/70 Right Arm Herrell, P K NP

Copay Required: No Cosign Required: No

Telephone/Verbal Order: No.

Completed by Herrell, P K NP on 09/10/2020 10:29

### **Bureau of Prisons Health Services** Clinical Encounter

Inmate Name:

GONZALEZ, VICTOR

Dale of Birth:

10/25/1972

Encounter Date: 08/26/2020 15:43

Sex:

Race: WHITE Provider: York, Timothy DO

Reg #:

19769-050.

Facility: LEE Unit: Z01

Chronic Care - 14 Day Physician Eval encounter performed at Special Housing Unit.

SUBJECTIVE:

COMPLAINT 1

Provider: York, Timothy DO

Chief Complaint: HYPERTENSION

Subjective:

Inmate health history reviewed, labs, and examination performed as part of today's visit

47 v.o. Hisp mate seen for 14 D.CCC with history of hypertension. Inmate reportedly on Amlodipine 10mg and Triamterene/HCTZ 37.5/25mg 1/2 tab g day. However, inmate reports

he has not been taking?"

Comorbid diseases: Hyperlipidemia, Obesity Current Status/Symptoms: No CP/Dyspnea MEDICATIONS: Norvasc and Dyazide:

Lipids: 5/2020-chol 224, trigly 264, HDL 41, and LDL 130.

EKG: 6/2019-reviewed EKG CXR: 7/2016-negative

Flu annual: 16, 17, 2018 Refused Pneumovax: refused 3/2016 BMI: 34 based on today's weight

Patient allergies reviewed and updates applied during this visit if indicated. See Chart:

Allergies for most recent patient allergy list.

Pain:

Nα

COMPLAINT 2

Provider: York, Timothy DO

Chief.Complaint: ENDO/LIPID Subjective:

47 y.o. Hisp male seen for 14 D CCC with history of hyperlipidemia. Records indicate the Immate is prescribed 40mg Atorvastatin, this would be more than the guideline would recommend and I will decrease to 20mg. Inmato reports he has not been taking the

medication. I have discussed compliance with inmate Gonzalez. He agrees to begin taking medication again. Discussed with inmate that ASA would not be recommended at this time,

therefore he can stop taking it.

Pain:

No.

Seen for clinic(s): Hypertension, Endocrine/Lipid

ROS:

General

Constitutional Symptoms

No: Fatigue: Fever

Integumentary

Skin.

Yes: Within Normal Limits

No: Hives, Rashes

Cardiovascular

General

Yes: Within Normal Limits

Pulmonary

Respiratory System

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 66 of 91 PageID: 3390

Inmate Name: GONZALEZ, VICTOR

Date of Birth: 10/25/1972 Encounter Date: 08/26/2020 15:43 Sex: M Race: WHITE Provider: York, Timothy DO

Reg #: 19769-050 Facility: LEE Unit: Z01

ROS:

Yes: Within Normal Limits

Gi

General

Yes: Within Normal Limits

OBJECTIVE:

Exam:

**Diagnostics** 

Laboratory

Yes: Results

General

Affect

Yes: Cooperative

**Appearance** 

Yes: Appears Well, Alert and Oriented x 3

No: Appears Distressed

Nutrition -

Yes: BMI reviewed (enter in comments)

Skin

General

Yes: Within Normal Limits, Dry, Skin Intact

Eyes

**General** 

Yes: PERRLA, Extraocular Movements Intact

Fundus Exam

Yes: Grossly Normal Relina

Neck

General

Yes: Within Normal Limits, Supple, Symmetric, Trachea Midline

Thyroid

Yes: Within Normal Limits

Pulmonary

**Auscultation** 

Yes: Clear to Auscultation

Cardiovascular

Auscultation

Yes: Regular Rate and Rhythm (RRR), Normal S1 and S2

**Abdomen** 

Auscultation

Yes: Norma-Active Bowel Sounds

**Palpation** 

Yes: Within Normal Limits, Soft:

No: Guarding, Rigidity, Hepato-Splenomegaly-

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 67 of 91 PageID: 3391

Inmate Name: GONZALEZ, VICTOR

Date of Birth: 10/25/1972 Encounter Date: 08/26/2020 15:43 Sex: M Race: WHITE Provider: York Timothy DO

Reg #: 19769-050 Facility: LEE

Z01

Unit:

#### Exam comments

BMI = Your BMI is 34, indicating your weight is in the Obese category for adults of your height.

For your height, a normal weight range would be from 133 to 179 pounds.

ASCVD RISK: 4,0%

10-year risk of heart disease or stroke

On the basis of your age alone, the USPSTF guidelines suggest there is insufficient evidence you will benefit from starting aspirin for heart disease and stroke risk reduction.

On the basis of your calculated risk for heart disease or stroke less than 7.5%, the ACC/AHA guidelines suggest you have no indication to be on a statin.

Based on your age, your blood pressure is well-controlled.

#### Comments

CMP WNL with exception of sodium 149, Ca 10.2 5/20/2020 Lipid panel choi: 224, Trig 264, HDL 41, LDL 130 5/20/2020 CBC WNL 5/20/2020

#### ASSESSMENT:

Hyperlipidemia, mixed, 272.2 - Current Hyperlension, Benign Essential, 401.1 - Current Obesity, E669 - Current

PLAN:

Copay Required: No

Cosign Required: No

Telephone/Verbal Order: No

Completed by York, Timothy DO on 08/26/2020 15:44

### **Bureau of Prisons Health Services** Clinical Encounter

inmate Name: GONZALEZ, VICTOR

Date of Birth: 10/25/1972

Encounter Date: 08/25/2020 14:15

Sex:

Race: WHITE Provider: York, Timothy DO

Reg #: 19769-050

Facility: LEE Unit Z01

Chronic Care - 14 Day Physician Eval encounter performed at Special Housing Unit.

SUBJECTIVE:

COMPLAINT 1

Provider: York, Timothy DO

Chief Complaint: HYPERTENSION

Subjective:

Inmate health history reviewed, labs, and examination performed as part of today's visit

male seen for 14 D CCC with history of hypertension. Inmate reportedly on Amiodi Ome and Triamterene/HCTZ 37.5/25mg 1/2 tab g day. However, inmate reports:

ne has not b

Comorbid dis la Hyperlipidemia, Obesity Current Status Sy o s: No CP/Dyspnea MEDICATIONS: No c and Dyazide: Lipids: 5/2020-chol 224, trigly 264, HDL 41, and LDL 130

EKG: 6/2019-reviewed EKG

CXR: 7/2016-negative

Flu annual: 16, 17, 2018 Refus

Pneumovax: refused 3/2016 BMI: 34 based on today's weight

juring this visit if Indicated. See Chart: Patient allergies reviewed and updates ar

Allergies for most recent patient allergy lis

Pain:

No

COMPLAINT 2

Provider: York Timothy DO

Chief Complaint ENDO/LIPID

Subjective:

47 v.o. Hisp male seen for 14 D CCC with history of hyp nia. Records indicate the inmate is prescribed 40mg Atorvastatin, this would be more than e quideline would recommend and I will decrease to 20mg. Inmate reports he as no been taking the medication. I have discussed compliance with inmate Gonzalez rees to begin taking medication again. Discussed with inmate that ASA would not be re ar ended at this time,

therefore he can stop taking it.

Pain:

No

Seen for clinic(s): Endocrine/Lipid, Hypertension

ROS:

General

Constitutional Symptoms:

No: Fatigue, Fever

Integumentary

Skin

Yes: Within Normal Limits

No: Hives, Rashes

Cardiovascular

General

Yes: Within Normal Limits

.Pulmonary

Respiratory System

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 69 of 91 PageID: 3393

Inmate Name: GONZALEZ, VICTOR

Date of Birth: 10/25/1972 Encounter Date: 08/25/2020 14:15

Sex: Race: WHITE: Provider: York, Timothy DO

Reg # 19769-050 Facility: LEE

Z01

Unit:

ROS:

Yes: Within Normal Limits

GI

General

Yes: Within Normal Limits

14:15 LEE

**OBJECTIVE:** 

Temperature:

08/25/2020

**Date** Time Fahrenheit Celsius Location

99.1 York, Timothy DO 37,3 Oral

Pulse:

Date <u>Tîme</u>

Per Minute 93

Location Rhythm Provider York, Timothy DO Via Machine Regular

08/25/2020 14:15 LEE

Respirations: Date

Time 08/25/2020

14:15 LEE York, Timothy DO.

**Blood Pressure:** 

Date Time.

Value: Location

ition

Per Minute Provider

Provider **Cuff Size** 

08/25/2020 14:15 LEE 120/83

Right Arm

Adult-large

mothy DO

Provider

York, Timothy DO.

SaO2:

Date Time: Value(%) Air

97 Room Air

Weight:

Date

08/25/2020

Time

Lbs

Kq Waist Circum.

08/25/2020

14:15 LEE

14:15.LEE

244.0 110.7 Don's

der

Exam:

Diagnostics

Laboratory

Yes: Results:

General

Affect

Yes: Cooperative

Appearance

Yes: Appears Well, Alert and Oriented x 3

No: Appears Distressed

Nutrition

Yes: BMI reviewed (enter in comments)

Skin

General

Yes: Within Normal Limits, Dry, Skin Intact

Eyes

General

Yes: PERRLA, Extraocular Movements Intact.

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 70 of 91 PageID: 3394

Inmate Name: GONZALEZ, VICTOR

Date of Birth: 10/25/1972 Encounter Date: 08/25/2020 14:15 Sex: M Race: WHITE Provider: York, Timothy DO

Reg #: 19769-050

Facility: LEE Unit: Z01

Exam:

Fundus Exam

Yes: Grossly Normal Retina

Neck

General

Yes: Within Normal Limits, Supple, Symmetric, Trachea Midline

Thyroid

Yes: Within Normal Limits

**Pulmonary** 

Auscultation

Yes: Clear to Association

Cardiovascular

Auscultation

Yes: Regular Rate and kin in RRR), Normal S1 and S2

Abdomen

Auscultation

Yes: Normo-Active Bowel Sounds

Palpation

Yes: Within Normal Limits, Soft

No: Guarding, Rigidity, Hepato-Splenomegary

Exam comments

BMI = Your BMI is 34, indicating your weight is in the Obese cate by a adults of your height.

For your height, a normal weight range would be from 133 to 179 pour

ASCVD RISK: 4.0%

10-year risk of heart disease or stroke

On the basis of your age alone, the USPSTF guidelines suggest there is insufficient even be you will benefit from starting aspirin for heart disease and stroke risk reduction.

On the basis of your calculated risk for heart disease or stroke less than 7.5%, the ACC/ALA and deleas suggest you have no indication to be on a statin.

Based on your age, your blood pressure is well-controlled.

Comments.

CMP WNL with exception of sodium 149, Ca 10.2 5/20/2020 Lipid panel chol: 224, Trig 264, HDL 41, LDL 130 5/20/2020 CBC WNL 5/20/2020

ASSESSMENT:

Hyperlipidemia; mixed; 272.2 - Current

Hypertension, Benign Essential, 401.1 - Current

Obesity, E669 - Current

PLAN:

**New Medication Orders:** 

Rx#

**Medication** 

Generated 08/26/2020 14:43 by York, Timothy DO

Bureau of Prisons - LEE

Order Date

Page 3 of 5:

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 71 of 91 PageID: 3395 Inmate Name: GONZALEZ VICTOR Reg #: 19769-050 Race: WHITE Date of Birth: 10/25/1972 Sex: Facility: LEE Encounter Date: 08/25/2020 14:15 Unit: Provider: York, Timothy DO Z01 **New Medication Orders: Order Date** Rx# Medication 08/25/2020 14:15 Atorvastatin Tablet ,20mg Orally - daily x 365 day(s) Prescriber Order: Indication: Hyperlipidemia, mixed Renew Medication Orders: Order Date Medication Rx# 08/25/2020 14:15 amLODIPine 10 MG TAB 107110-CP1 Take one tablet (10 MG) by mouth each day x 365 day(s) Prescriber Order: Indications pertension, Benjan Essential .5 MG/25 MG Tab 08/25/2020 14:15 Triamterene. 107112-CP1 \*\* \*\*\*NOTE DOSE and STRENGTH\*\*\* Take one-half (1/2) tablet by mouth Prescri each day to control blood pressure x 365 day(s) Indication: n, Benign Essential **Discontinued Medication Orders:** Order Date Rx# Medication 08/25/2020 14:15 107111-CP1 Atorvastatin 40 MG TAB (40 MG) by mouth each evening for control of cholesteral Prescriber Order: Take of Processes: Discontinue Type: laci Discontinue Reason: new order with en indication: **New Laboratory Requests: Due Date** Priority Frequency Details. Routine One Time 6/25/2021 00:00 Lab Tests - Short List-General-Microalbumin, Bon mobner entiru Lab Tests - Short List-General-TSH Chronic Care Clinics-Hypertension-CBC w/diff Chronic Care Clinics-Hypertension-Lipid Profile Lab Tests - Short List-General-Hemoglobin A1C Chronic Care Clinics-Hypertension-Comprehensive Metabolic Profile (CMP) Herrell, PKNP Labs requested to be reviewed by: Discontinued Consultation Requests: anslator Target Date Scheduled Target Date Priority Language. Consultation/Procedure 10/29/2020 10/29/2020 Routine: No Optometry Subtype: Reason for Request: Annual exam requested per Optometry due to blurred distance vision and hx of HTN New Non-Medication Orders: Ordered By Details Duration Frequency Order York, Timothy DO Blood Pressure Every Tues and 14 days

Activity

Schedule::

Thurs

Order Date: 08/25/2020

Chronic Care Visit

Date Scheduled Scheduled Provider

08/26/2020 00:00 Physician

Bureau of Prisons - LEE:

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 72 of 91 PageID: 3396

Inmate Name: GONZALEZ, VICTOR

Date of Birth: 10/25/1972 Encounter Date: 08/25/2020 14:15 Sex: M Race: WHITE Provider: York, Timothy DO

Reg #: 19769-050 Facility: LEE Unit: Z01

**Activity** 

Date Scheduled Scheduled Provider

Chart Review

09/09/2020 00:00 MLP 03,

BP on medication, compliance check, pressures x 2 weeks

Optometry Exam.

10/22/2020 00:00 Optometrist

Annual examidue

Disposition:

Follow-up at Sick Call as Needed

**Patient Education Topics:** 

Handout/Topic Outcome Date initiated Format <u>Provider</u> Verbalizes Access to Care. York, Timothy 08/25/2020 Counseille Understanding Verbalizes York, Timothy Diet 08/25/2020 Counseling Understanding Verbalizes York, Timothy Exercise 08/25/2020 Counseling Understanding York, Timothy Verbalizes 08/25/2020 Counseling Test/ ay Results Understanding Verbalizes York, Timothy Counseling 08/25/2020

Copay Required: No.

Cosign Required: N

Telephone/Verbal Order: No.

Completed by York, Timothy DO on 08/26/2020 14:43

Understanding

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 73 of 91 PageID: 3397

# Bureau of Prisons Health Services See Amendment

 Inmate Name:
 GONZALEZ, VICTOR
 Reg #: 19769-050

 Date of Birth:
 10/25/1972
 Sex: M
 Race: WHITE

 Encounter Date:
 08/26/2020 15:43
 Facility: LEE

Amendment made to this note by York, Timothy DO on 08/26/2020 15:44.

# **Bureau of Prisons Health Services**

#### Clinical Encounter - Administrative Note

Inmate Name: Date of Birth:

GONZALEZ, VICTOR

Séx:

Race: WHITE

Reg #:

19769-050

Note Date:

10/25/1972 08/20/2020 14:34

M Smith, Nancy FNP Provider:

Facility: Unit:

LEE Z01

Admin Note - Orders encounter performed at Health Services.

Administrative Notes:

ADMINISTRATIVE NOTE 1

Provider: Smith, Nancy FNP

Transfer in today and will go into Quarantine x 14 days. Will need temp and s/sx check documented in

comment section; PCR on 09/03/20.

ASSESSMENTS:

Quarantine - asymptomatic person in quarantine, Z0489-q - Current

**New Laboratory Requests:** 

**Details** 

Lab Tests-C-COVID-19 Asymptomatic Novel

**Frequency** One Time

Due Date

09/03/2020 00:00

Priority Routine

Coronavirus

Labs requested to be reviewed by:

York, Timothy DO

New Non-Medication Orders:

Order

Frequency

Duration

Details

Ordered By

Smith, Nancy FNP

Temperature-

One Time

Due on 09/03/2020 need temp

and s/sx check documented in

comment section

Order Date:

08/20/2020

Copay Required: No

Cosign Required: No

Telephone/Verbal Order: No:

Completed by Smith, Nancy FNP on 08/20/2020 14:36

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 75 of 91 PageID: 3399

# **Bureau of Prisons Health Services** Clinical Encounter

Inmate Name:

GONZALEZ, VICTOR

Date of Birth:

10/25/1972 Encounter Date: 08/16/2020 13:03

Sex:

Race: WHITE

Reg #: Facility: TOM

19769-050

Provider: Horst, C. RN

Unit:

Q03

Nursing - Follow up encounter performed at Housing Unit.

SUBJECTIVE:

COMPLAINT 1

Provider: Horst, C. RN

Chief Complaint: No Complaint(s)

Subjective:

Inmate by was high but denies any symptoms.

Pain:

Not Applicable

#### OBJECTIVE:

#### ASSESSMENT:

Hypertensive

inmate was on schedule for by check and test 149/98 in left arm using wrist cuff and sitting in his chair. Denies any headaches or blurred vision. Will schedule more by check for evaluation.

#### PLAN:

**New Non-Medication Orders:** 

Frequency

Duration

Details:

Ordered By

Blood Pressure

**Every Tues and** 

14 days

Horst, C. RN

Thurs

Order Date:

08/16/2020

#### Disposition:

Follow-up at Sick Call as Needed

#### Other:

Patient allergies reviewed and updates applied during this visit if indicated. See Chart: Allergies for most recent patient allergy list.

#### Patient Education Topics:

Date Initiated Format

Handout/Topic

Provider

Outcome -

08/16/2020

Counseling

Access to Care

Horst, C.

Verbalizes Understanding

08/16/2020

Counseling

Plan of Care

Horst, C.

Verbalizes

Understanding

Copay Required: No

Cosign Required: 'Yes'

Telephone/Verbal Order: No

Completed by Horst, C. RN on 08/16/2020 13:08

Requested to be cosigned by Fateh Hyder, Syed Regional Medical Director/NCRO.

Cosign documentation will be displayed on the following page.

Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 76 of 91 PageID: 3400

# **Bureau of Prisons Health Services** Cosign/Review

Inmate Name:

GONZALEZ, VICTOR

Date of Birth: 10/25/1972

Encounter Date: 08/16/2020 13:03

Sex: Provider.

M. Horst, C. RN Reg#:

19769-050

Race: Facility:

WHITE TOM

Cosigned by Fateh Hyder, Syed Regional Medical Director/NCRO on 08/17/2020 07:58.

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 77 of 91 PageID: 3401

# **Bureau of Prisons Health Services** Clinical Encounter

Provider: Kinnick, T. PA-C

Inmate Name:

GONZALEZ, VICTOR

Date of Birth:

Encounter Date: 08/03/2020 18:49

10/25/1972

Sex:

Race: WHITE М

Rea #:

19769-050

Unit:

Facility: TOM 003

Mid Level Provider - Follow up Visit encounter performed at Housing Unit.

SUBJECTIVE:

COMPLAINT 1

Provider: Kinnick: T. PA-C

Chief Complaint: HYPERTENSION

Subjective:

The patient has no complaints. He denies having any pain. He reports that he stopped taking

his BP medications when he was placed in quarantine. (Reason unknown.)

Pain:

No

**OBJECTIVE:** 

Temperature:

Date

Time

Fahrenheit Celsius Location

Provider:

08/03/2020 18:50 TOM

97.5

36.4 Oral

Kinnick, T. PA-C

Pulse:

Date Time

Rate Per Minute

Location

Rhythm

Provider

08/03/2020 18:50

62.

Radial

Regular-

Kinnick, T. PA-C

**Blood Pressure:** 

Date

Time ' 08/03/2020 18:50 TOM 148/89

Value

Location Left Arm

Position Sitting

**Cuff Size** Adult-large

Provider Kinnick, T. PA-C

SaO2:

Date 08/03/2020 Time.

18:50 TOM

Value(%) Air

Provider

Kinnick, T. PA-C

Weight:

Date

Time

Lbs

Kq Waist Circum, Provider

08/03/2020

18:50 TOM

252.8

114.7

99 Room Air

Kinnick, T. PA-C

**ROS Comments** 

Constitutional/General: Denies fevers/chills/night sweats. Denies pain.

Heart: Denies chest-pain; edema.

Lungs: Denies SOB; chronic cough.

GI: Denies hausea and vomiting; denies constipation and diarrhea.

**Exam Comments** 

General: Appears stated age, and is in no acute distress.

Radial Pulses: Regular rate and rhythm; 1 bilaterally.

Heart: Normal S1 and S2; no gallops/murmurs/rubs. Trace pitting edema.

Lungs: Clear to auscultation bilaterally. No rales/rubs/rhonchi/wheezes.

Skin (arms, forearms, hands). Dry; warm; no erythema; no lesions; no reshes.

Generated 08/03/2020 18:59 by Kinnick, T. PA-C

Bureau of Prisons - TOM

Page 1 of 2

#### Case 1:96-cr-00114-RBK Document 691 Filed 07/16/21 Page 78 of 91 PageID: 3402

GONZALEZ, VICTOR Inmate Name:

10/25/1972

Race: WHITE Sex:

Reg #: 19769-050

Date of Birth: Encounter Date: 08/03/2020 18:49

Provider: Kinnick, T. PA-C

Facility: TOM Unit: Q03

HEENT: Atraumatic/normocephalic; anicteric; extra-ocular movements intact, PERRL, no nystagmus; not hard of hearing; patent nares; midline trachea.-

Psychiatry: Appropriate mood and affect.

#### ASSESSMENT:

Hypertension, Benigh Essential, 401.1 - Current.

#### PLAN:

#### Disposition:

Follow-up at Sick Call as Needed

#### Other:

A: Asymptomatic HTN patient who has been non-compliant with his BP medications:

P:

- 1) Educated the patient regarding the importance of maintaining a normal BP, below 140/90. Every day outside of this range puts him at a risk for a MI or stroke and death, and other health problems such as kidney failure, etc.
- 2) Pt advised to take his medication daily as prescribed. I recommend pill-line if he is not compliant at the facility he transfers to in the BOP.
- 3) The pt's commissary purchases were reviewed, and the pt was counseled regarding the benefits of eating a low sodium diet.

#### Patient Education Topics:

| <u>Date Initiated</u><br>08/03/2020 | Format<br>Counseling | Handout/Topic Compliance - Treatment | Provider<br>Kinnick, T. | Outcome<br>Verbalizes<br>Understanding |
|-------------------------------------|----------------------|--------------------------------------|-------------------------|--|
| 08/03/2020                          | Counseling           | Plan of Care.                        | Kinnick, T.             | Verbalizes<br>Understanding            |
| 08/03/2020                          | Counseling           | Risk vs. benefit of treatment        | Kinnick, T.             | Verbalizes<br>Understanding            |

#### Copay Required: No

Cosign Required: Yes

Telephone/Verbal Order: No:

Completed by Kinnick, T. PA-C on 08/03/2020 18:59

Requested to be cosigned by Fateh Hyder, Syod Regional Medical Director/NCRO:

Cosign documentation will be displayed on the following page.

# EXHIBIT 3: "Summary Reentry Plan - Progress Report"



Dept. of Justice / Federal Bureau of Prisons Plan is for inmate: GONZALEZ, VICTOR 19769-050

SEQUENCE: 00236262

Report Date: 04-21-2021

The transfer of the first



Facility: LEE LEE USP

Custody Level: IN

Name: GONZALEZ, VICTOR

Security Level: HIGH

Register No.: 19769-050

Proj. Rel Date: UNKNOWN

Quarters: B02-201U

Release Method: LIFE

Age: 48

DNA Status: MCD00212 / 02-01-2011

Date of Birth: 10-25-1972

| Monege      | nd Santano | es Imposed    |
|-------------|------------|---------------|
| ///CII362 a | Ha ochtene | ica iliiboaca |

Terms In Effect Charge

T:18 USC 1962 CONSP/ TO COMMIT RICO, RACKETEER INFLUENCE AND CORRUPT

LIFE

ORGANIZATIONS.

T:21 846 CONSP! TO DIST! AND POSS/WITD MORE THAN ONE KILO. OF HEROIN.

LIFE

Date Sentence Computation Began:

**NEW JERSEY** 

Sentencing District: Days FSGT / WSGT / DGCT

Days GCT or EGT / SGT

03-18-1997

Time Served

+ Jail Credit - InOp Time

0 /

0

Years: 25 Months: 1 Days: 3

+ 364 JC -0 InOp

**Detainers** 

Detaining Agency

Remarks

NO DETAINER

Inmate Gonzalez arrived at USP Lee on August 20, 2020. Unit Team recommends completion of the GED Program.

Current Work Assignments

| Facl | Assignment | Description      |  | Start      |
|------|------------|------------------|--|------------|
| LEE  | EAST COR   | EAST COORIDOR DW |  | 03-14-2021 |

#### Work Assignment Summary

Inmate Gonzalez is assigned to East Corridor work detail. He maintains satisfactory work evaluations.

| To the same of the last of the |               |              |
|--|---------------|--------------|
| Coursest E   | ducation Infe | armatian :   |
| 4 . I [ ] [ ] [ ] [ ] [ ] [ ]  |               | uitiiduvii . |

| Faci | Assignment | Description                 | • | Start      |
|------|------------|-----------------------------|---|------------|
| LEE  | ESL HAS    | ENGLISH PROFICIENT          |   | 09-01-1999 |
| LEE  | GED DN     | DROPPED GED NON-PROMOTABLE  |   | 02-06-2017 |
| LEE  | GED UNSAT  | GED PROGRESS UNSATISFACTORY |   | 04-03-2000 |

| 1 | Ε | d | uca | tio | n C | our  | ses |
|---|---|---|-----|-----|-----|------|-----|
| ŀ |   | - |     |     |     | 1.00 |     |

| LEE       | OLD DITON | OLD I NOONLOO OHER MISSION OF THE PERSON OF | The second part of the second second  | 9.0                                   |  |
|-----------|-----------|---|---------------------------------------|---------------------------------------|--|
| Education | n:Courses | grant to the second and administration  | Super Control of the State of Control | Marine & Marine San Land and San Land |  |
| SubFact   | Action    | Description   | Start .                               | Stop                                  |  |
| LEE       |           | RPP5 RPP ORIENTATION  | 02-08-2021                            | 02-08-2021                            |  |
| LEE       | C         | RPP1 AIDS AWARENESS   | 02-08-2021                            | 02-08-2021                            |  |
| TOM CAD   | c .       | COMMERCIAL DRIVERS LICENSE USP  | 11-02-2019                            | 01 <sub>-</sub> 19 <b>-</b> 2020      |  |
| TOM CAD   | Ċ.        | STRESS AND YOUR BODY 1  | 05-14-2019                            | 05-23-2019                            |  |
| TOM CAD   | C         | RPP1 HIV/HEP AWARENESS  | 03-25-2019                            | 03-25-2019                            |  |
| LEE       | w ·       | GED 1230 M-F SIZEMORE   | 01-30-2017                            | 02-06-2017                            |  |
| LEE       | w .       | GED 1400-1530 ROOM 3  | 11-29-2016                            | 01-30-2017                            |  |
| LEE       | C         | RPP1 AIDS AWARENESS   | 04-12-2016                            | 04-12-2016                            |  |
| LEE       | C         | RPP5 RPP ORIENTATION  | 04-12-2016                            | 04-12-2016 ´                          |  |
| MCD       | C         | INTRO TO COMPUTER USAGE   | 01-31-2011                            | 02-07-2011                            |  |
| CUM       | C.        | CLN PRE-RELEASE   | . 04-14-2010                          | 04-15-2010                            |  |
| CUM       | Ċ.        | CLN COMMUNICATION .   | Q3-16-2010                            | . 03-17-2010                          |  |
| CUM       | C         | CLN ANGER MANAGEMENT  | 03-01-2010                            | 03-01-2010                            |  |
| CUM       | C         | CLN STRESS MANAGEMENT   | 03-18-2010                            | 03-18-2010                            |  |
|           |           |   |                                       |                                       |  |



Dept. of Justice / Federal Bureau of Prisons Plan is for inmate: GONZALEZ, VICTOR 19769-050 SEQUENCE: 00236262
Report Date: 04-21-2021

| SubFac | l Action | Description                    | Start      | Stop       |
|--------|----------|--------------------------------|------------|------------|
| CNW    | C        | DEVELOPMENTAL PSYCHOLOGY       | 02-13-2010 | 02-13-2010 |
| CUM    | С        | VICTIM IMPACT COUNSELING GP    | 02-08-2010 | 02-11-2010 |
| CLP    | С        | RPP GROWTH #6                  | 08-29-2009 | 08-29-2009 |
| CLP    | С        | ELL ORIENTATION ACE            | 06-28-2006 | 06-28-2006 |
| ATL    | c ·      | ANGER MANAGEMENT               | 01-17-2003 | 03-21-2003 |
| ATL    | С.       | ADULT/CHILDHOOD DEV T-W 12-3PM | 07-26-2000 | 09-25-2000 |
| ATL    | w        | GED 12:30 - 3:: THOMAS         | 09-27-1999 | 04-03-2000 |

#### Education Information Summary

Inmate Gonzalez has completed courses throughout his incarceration that includes Stress and Your Body, intro to Computer Usage, Anger Management, Stress Management, Developmental Psychology, Victim Impact Counseling, and Adult/Childhood Development.

| Hearing Date | Prohibited Acts                      |     |   |    | A     |   |
|--------------|--------------------------------------|-----|---|----|-------|---|
| 04-09-2014   | 104 : POSSESSING A DANGEROUS WEAPON  |     |   | ,  |       |   |
| 03-28-2012   | 312: BEING INSOLENT TO STAFF MEMBER  | •   |   |    |       | • |
| 12-20-2010   | 307 : REFUSING TO OBEY AN ORDER      |     |   | .* | e 191 |   |
|              | 316 : BEING IN UNAUTHORIZED AREA     | · . |   | 4  |       |   |
| 05-11-2005   | 327 : CONTACTING PUBLIC WITHOUT AUTH |     |   |    | *     | - |
| 02-03-2005   | 306 : REFUSING WORK/PGM ASSIGNMENT   |     | i |    |       |   |

#### Discipline Summary

Inmate Gonzalez has maintained clear conduct since 2014. He maintains good rapport with staff and fellow inmates.

| ARS Assign  | ments .          |                            |            |                            |
|-------------|------------------|----------------------------|------------|----------------------------|
| Facl        | Assignment       | Reason                     | Start      | Stop                       |
| LEE         | A-DES            | TRANSFER RECEIVED          | 08-20-2020 | CURRENT                    |
| TOM CAD     | A-DES            | TRANSFER RECEIVED          | 12-10-2018 | 08-19-2020                 |
| LEE         | A-DES            | TRANSFER RECEIVED          | 03-15-2016 | 12-04-2018                 |
| CUM.        | A-DES            | TRANSFER RECEIVED          | 06-24-2013 | 02-22-2016                 |
| MCD         | A-DES            | OTHER AUTH ABSENCE RETURN  | 03-12-2013 | 06-19-2013                 |
| MCD         | A-DES .          | OTHER AUTH ABSENCE RETURN  | 12-03-2012 | 03-12-2013                 |
| MCD .       | A-DES            | OTHER AUTH ABSENCE RETURN  | 08-23-2012 | 12-03-2012                 |
| MCD         | A-DES            | TRANSFER RECEIVED          | 01-25-2011 | 08-23-2012                 |
| CUM         | A-DES            | TRANSFER RECEIVED          | 01-04-2010 | 01-25-2011                 |
| CLP .       | A-DES            | TRANSFER RECEIVED          | 06-22-2006 | 12-29-2009                 |
| ATL         | A-DES            | TRANSFER RECEIVED          | 04-27-2006 | 06-22-2006                 |
| ATL         | A-DES            | TRANSFER RECEIVED          | 08-16-1999 | 04-27-2006                 |
| Current Car | e Assignments    |                            |            | the transfer of the second |
| Assignment  | Des              | cription                   | Start      |                            |
| CARE1       | , HEAL           | THY OR SIMPLE CHRONIC CARE | 06-27-2013 |                            |
| CARE1-MH    | - CĄRI           | E1-MENTAL HEALTH           | 10-25-2010 |                            |
| Current Med | dical Duty Statu | s Assignments              |            |                            |
| Assignment  | Des              | cription                   | Start      |                            |
| C19-T NEG   | COV              | D-19 TEST-RESULTS NEGATIVE | 01-04-2021 | •                          |
| REG DUTY    | NO N             | MEDICAL RESTR-REGULAR DUTY | 06-27-2006 | • •                        |
| YES F/S     | CLEA             | ARED FOR FOOD SERVICE      | 04-15-2020 |                            |
| Current PTF | Assignments      |                            |            |                            |
| Assignment  | Des              | cription                   | Start .    |                            |
| CDE DECL    |                  | E DECLINE                  | 03-22-2000 |                            |
| Current Dru | ıg Assignments   |                            |            |                            |
| Assignment  | Description      | n                          | Start      |                            |



Dept. of Justice / Federal Bureau of Prisons Plan is for inmate: GONZALEZ, VICTOR 19769-050 SEQUENCE: 00236262 Report Date: 04-21-2021

| Assignment | Description                |     | Start      | • |   |
|------------|----------------------------|-----|------------|---|---|
| DRG E COMP | DRUG EDUCATION COMPLETED   |     | 09-07-2007 |   |   |
| DRG I NONE | NO DRUG INTERVIEW REQUIRED | ,   | 11-30-1999 |   | • |
| NR COMP    | NRES DRUG TMT/COMPLETE     | ` . | 02-01-2007 |   | • |
| NR WAIT    | NRES DRUG TMT WAITING      |     | 03-22-2021 | F |   |

#### Physical and Mental Health Summary

Inmate Gonzalez is on regular duty medical status with no restrictions. Psychology staff have not expressed mental health concerns at this time. He completed the Drug Education Program on 09-07-2007.

#### FRP Payment Plan

Most Recent Payment Plan

FRP Assignment: NO OBLG FINANC RESP-NO Start: 03-03-2017

Inmate Decision: AGREED \$25.00 Frequency: QUARTERLY

Payments past 6 months: \$0.00 Obligation Balance: \$0.00

| Financi | al Obligations | the Asia Landau and the |                    |                 |            |
|---------|----------------|-------------------------|--------------------|-----------------|------------|
| No.     | Туре           | Amount                  | Balance            | Payable         | Status     |
| 2       | ASSMT          | \$150.00                | \$0.00             | IMMEDIATE       | COMPLETEDZ |
|         |                | ** NO ADJU              | ISTMENTS MADE IN L | AST 6 MONTHS ** |            |
| 1       | FINE           | \$10,000.00             | \$7,490.00         | IMMEDIATE       | EXPIRED    |
|         |                | ** NO ADJI              | ISTMENTS MADE IN L | AST 6 MONTHS ** |            |

#### Financial Responsibility Summary

Inmate Gonzalez has no financial obligations.

#### Release Planning

Inmate Gonzalez plans to reside with his mother, Clara Nieves, in Pennsauken, New Jersey. He plans to see employment in the construction industry.

#### General Comments

Inmate Gonzalez is in the process of obtaining his birth certificate and social security card.



Dept. of Justice / Federal Bureau of Prisons Plan is for inmate: GONZALEZ, VICTOR 19769-050 SEQUENCE: 00236262 Report Date: 04-21-2021

Name: GONZALEZ, VICTOR

Register Num: 19769-050

Age: 48

Date of Birth: 10-25-1972

DNA Status: MCD00212 / 02-01-2011

Inmate (GONZALEZ, VICTOR, Register Num: 19769-050)

Inmate (GONZALEZ, VICTOR, Register Num. 19

4-21-21

Date

Chairperson

6/-21-21

Case Manager

4-21-21

Date . .

Date

# EXHIBIT 4:

"Research: University of Kentucky"



# Adolescent Brain Development and Risky Teenage Behavior



Raising a teenager can be stormy and stressful at times. Adolescents may have a hard time making good decisions, yet they are a member of their school's Honor Society. They may appear to be self-centered, but then they volunteer at the local Boys and Girls club. Parents worry when they earn their driver's license, but may soon see that their driving record is spotless. Watching movies and playing video games at home may make a teenager seem lazy, when in reality they have a busy schedule. Teens often wear many hats. They make mistakes and may partake in risky behavior from

time to time, but much of their behavior is the result of identity exploration and an undeveloped brain. From this behavior, teens learn and grow. As adults who interact with teens, it is important to keep in mind that the adolescent brain is "still under construction" and it will remain in this transition period until approximately the mid-twenties. While the teenage brain is influenced by many factors, including genetics, personal history and agents of socialization (family, friends, community, culture), there is support that links risky behavior, lack of thinking and poor judgment to brain development. Therefore, it is important to understand the changing adolescent brain and subsequent behavior.

# The brain continues to go through many changes in adolescence

There was a time when it was believed that the brain was completely developed by the teenage years. With advanced medical and brain-imaging technologies, researchers now recognize that the brain continues to go through many changes in adolescence and that these changes affect the ways in which a teenager makes decisions and forms mature judgments.

As the brain develops, two basic processes take place—synaptic pruning and the strengthening of synaptic connections. Synaptic pruning refers to a regulatory process that reduces the overall number of neurons and connections that are needed for brain functioning. While it sounds destructive, pruning is necessary for brain development because it reduces weak and unnecessary synaptic connections (signals between cells), which allows the more useful connections to become stronger. This occurs in childhood, throughout adolescence and even into early adulthood. As a result, the ability to think and solve problems improves with age. But, this process does not occur evenly across the brain. Instead, it starts in the back of the brain, which controls sensory functioning, including vision, touch and sensation. Then it moves to the area of the brain responsible for coordination. It is not until late adolescence that pruning and synaptic connections become stronger in the front part of the brain, which is responsible for decision making, problem solving and thinking. As a result, complex thought, including judgment, is the last to mature. In fact, researchers now believe that a brain is not fully developed until approximately age 25.

There are also changes occurring in the parts of the brain related to self-

awareness and self-concept. These changes may be responsible for increased self-consciousness and susceptibility to peer pressure.

# Hormones target specific areas of the brain responsible for emotional regulation and control

Having a testy teenager may be due to the immense hormonal changes that take place during adolescence. In addition to reproductive hormones associated with sexual development, growth and behavior, there are also stress hormones that affect the brain and social behavior. Hormonal rushes. for example, may set off the amygdala, the brain's center for emotions. The amygdala can trigger risky or thrillseeking behavior because the controloriented parts of the brain, which manage judgment, are not yet developed to tell a teen not do something just because it feels good. As a result of different areas of the brain



developing at different times, in addition to hormonal rushes, teens are more prone to risky behavior and bad decisions, such as binge drinking, drug abuse, smoking, body piercing/tattooing, unprotected sexual activity, thrill-seeking, fighting, dangerous driving, truancy and even illegal activity.

#### It's all about me!

As teenagers try to figure out who they are and what they want, amidst their developing brain and raging hormones, parents may have a challenging time meeting their teenager's expectations. Teens are often self-absorbed with their own thoughts, attitudes and values. They imagine that they are under everyone's scrutiny and attention with everything they say and do. This means that something as simple as a "bad hair day" can turn into a major catastrophe! Yet when a parent tries to help, a sensitive teen may interpret such parental concern as criticism or meddling. Self-centered and absorbed in their own feelings, a teen very often believes that only he/she knows the extent of their own anguish or pleasure and that a parent could not possibly know anything about it.

In addition, teens also believe that nothing bad can happen to them—that bad things only happen to others. They may continue to text and drive because it is their belief that it is only the "bad" or "stupid" drivers who cannot do so safely. As a result, teens move forward in their poor decision-making and risky behavior.

# **Moral Development**

The changes that take place in a teenager's brain also affect moral development. For example, now that they are capable of engaging in drinking, they have to decide what this means to them and whether or not they want to participate in this activity before they turn 21. In addition, they have to decide if they want to succeed in school and recognize the consequences if they do not. They have to decide who they want to be in society and how they want to fit in. They have to evaluate the behaviors of friends and peers and decide if they are comfortable with such behaviors and associations with such people. Such decision-making influences not only their adult years, but also their moral behavior. The ability to make moral judgments, however, even with lifethreatening behaviors such as drug use or unsafe sex, can take time to develop. In a study of 18-22 year olds, for example, only the 22 year olds carefully considered the moral dilemma of contracting a sexually transmitted disease, including HIV/AIDS.

For teens, their level of moral reasoning has moved beyond obeying rules to avoid punishment or to receive a reward, as they did as younger children to a more conventional way of thought, which includes, conforming to society—and to their friends. The desire of a teen to conform to his/her peer group can be a challenge to a teen's moral reasoning, especially if dangerous or risky activities

are involved. The more opportunities that parents can provide teens with experiences to practice their moral reasoning, the more apt teens will be to move toward more advanced moral reasoning.

# Teens will be teens, but it will get better

It is not easy being a teenager.
Therefore, as adults, it is important to avoid controlling every decision a teen makes. Let them try new things and learn from their mistakes. With each life lesson, teens are provided with opportunities to learn both positive and negative consequences, which will help them better handle independence and responsibility. Eventually, with appropriate guidance and support, teens will be able to start making healthy decisions on their own.
However, if rules are broken



repeatedly or decisions have negative long-term effects, it is important to help your teen learn a new skill to help him/her make better choices.

As the brain continues to develop and more experiences are accumulated, a teenager's awareness, imagination, judgment and insight will continue to mature. Teenagers will also become better at reasoning and exploring logical solutions to both abstract and concrete thoughts, and they will better be able to plan and think ahead. In addition to being better able to reflect on their own thinking, teens will get better at thinking more about how others are motivated and how they think and feel. While this may influence a teen to "negotiate" at this age, they will also likely start to see themselves in a broader context and show more interest with social, political and moral issues.

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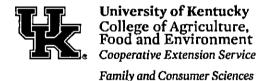
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Amy Hosier, Ph.D. Extension Specialist for Family Life



Knight, Kenna, B.S.

Pendleton County Extension Agent for Family and Consumer Sciences

Angelica S. Reina, Ph.D.

Former Senior Extension Specialist for Child Development and Parenting Education

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Case 1:96-cr-00114

NICTOR GONZALEZ #19769-050

UNITED STATES PENITENTIARY

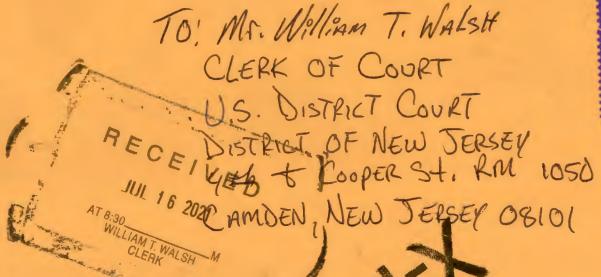
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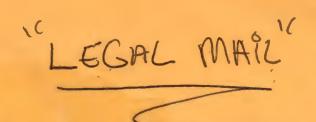
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